

# Compact Routing in Directed Networks with Stretch Factor of Two

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**Abstract.** This paper presents a compact routing algorithm with stretch less than 3 for directed networks. Although for stretch less than 3, the lower bound for the total routing information in the network is  $\Omega(n^2)$  bits, it is still worth examining to determine the best possible saving in space. The routing algorithm uses header size of  $4 \log n$  and provides round-trip stretch factor of 2, while bounding the local space by  $[n - \sqrt{n}(\sqrt{1 - 7/4n}) - 1/2] \log n$ . These results for stretch less than 3 for directed networks match those for undirected networks.

## 1 Introduction

### 1.1 Background

As high-speed networking gains popularity, the routing bottleneck shifts from the propagation delay to the route decision function. Therefore, simple routing schemes implemented in hardware will be preferred in practice. The decision function is bounded by the size of the routing table. The bigger the routing table, the more time it takes to determine the next hop. Also, it is desirable that the routing table be kept in fast memory such as cache. Furthermore, it is not desirable for the memory requirements to grow fast with the size of the network, since it means adding more hardware to all the routers in the network.

Compact routing addresses this problem by decreasing the table size and hence the decision time. The trade-off involved here is the communication cost of passing messages between a pair of nodes.

### 1.2 Existing Work

Early work on compact routing focused on routing schemes for special networks such as rings, trees [14], and grids [9,10]. Peleg and Upfal [11,12] were the first to construct an universal compact routing scheme. An universal routing scheme is an algorithm which generates a routing scheme for every given network, that is, for all sorts of topologies. A trivial version of an universal routing strategy stores at each node a routing table which specifies an output port for each destination. Although this routing strategy can guarantee routing through the shortest path,

each router has to store locally  $O(n \log d)$  bits of memory, where  $d$  is the degree of the node and  $n$  is the total number of nodes in the network. As the network grows in size, it becomes necessary to reduce the amount of memory kept at each router. But there is a trade-off involved here between the memory and the stretch factor, denoted here by  $s$  and defined as the maximum ratio between the length of the path traversed by a message and that of the shortest path between its source and destination. The lower bounds for routing information in the network are:

$$\begin{array}{lll} \text{For stretch factor } s \geq 1 & \Omega(n^{1+1/(2s+4)}) \text{ bits} & [13] \\ \text{For stretch factor } s < 3 & \Omega(n^2) \text{ bits} & [4, 6] \\ \text{For stretch factor } s = 1 & \Theta(n^2 \log n) \text{ bits (optimal)} & [5] \end{array}$$

There are various algorithms for compact routing. The algorithm in [1] achieves a stretch of 3 while using  $O(n^{3/2} \log n)$  bits in total, but some individual nodes use  $O(n \log n)$  bits. A recent algorithm of [7] uses interval routing for undirected graphs to achieve a stretch of 5 while using local routing table size of  $O(n^{1/2} \log n)$  bits. More recently, [2] presented an hierarchical scheme which uses a local routing table size of  $O(n^{2/3} \log^{4/3} n)$  and guarantees a stretch of 3.

Most of the recent work done in routing has been for undirected graphs. Directed networks are much harder than the undirected case because a deviation from the exact shortest route has serious consequences. That is, if we take a walk in the wrong direction for a few steps from  $u$ , in an undirected graph it is easier to retrace our steps, but in a directed graph it might be extremely hard to get back to  $u$ . So to overcome this difficulty, [3] used round-trip distance. The round-trip distance is a measure of how easy it is to get back from an exploratory trip. They achieved a roundtrip stretch of  $2^{l+1} - 1$  using  $O(l \log n)$  size addresses and a  $O(\ln^{1/(l+1)})$  sized routing table on the average on each node, where  $l$  is an integer greater than 0 and denotes the level of landmark.

Although designing routing algorithms for stretch of less than 3 does not seem so attractive because of the lower bound of  $\Omega(n^2)$  as shown in [4,6], it is still worth examining to determine the best possible saving in space. Recently, [8] addressed the problem of compact routing for stretch factor of less than 3 in undirected networks. It presented an algorithm whose local table size is  $(n - \sqrt{n} + 2) \log n$  for a stretch factor of 2. In this paper, we propose a simple routing scheme for directed networks. We use a scheme similar to [8], coupled with the concept of round-trip distance which makes it a harder problem. The algorithm uses node names of size  $4 \log n$  and has a round-trip stretch factor of 2, while bounding the local space by  $[n - \sqrt{n}(\sqrt{1 - 7/4n}) - 1/2] \log n$ .

## 2 Some Definitions Used in Compact Routing

**Definition 1.** *Routing scheme (R)*

Routing scheme (R) is a distributed algorithm that consists of distributed data structures in the network and a delivery protocol.

**Definition 2.** *Round-trip distance*

Round-trip distance between any two nodes, say  $x$  and  $y$ , in a network is the shortest distance from  $x$  to  $y$  and back. Formally,

$$RT(x, y) = d(x, y) + d(y, x)$$

where  $d(x, y)$  represents the shortest distance between  $x$  and  $y$ .

**Definition 3.** *Round-trip distance for a routing scheme (R)*

Round-trip distance for a routing scheme (R) between any two nodes, say  $x$  and  $y$ , is the distance of the path taken by a packet going from  $x$  to  $y$  and back, in accordance with the routing algorithm (R). Mathematically,

$$RT(R, x, y) = d(R, x, y) + d(R, y, x)$$

where  $d(R, x, y)$  represents the distance of the path taken by a packet going from  $x$  to  $y$  in accordance with the algorithm.

**Definition 4.** *Round-trip stretch of a routing scheme (R)*

Round-trip stretch of a routing scheme (R) is defined as follows

$$\text{Round-trip stretch (R)} = \max \frac{d(R, x, y) + d(R, y, x)}{d(x, y) + d(y, x)}$$

### 3 Overview of the Algorithm

The initial step of the algorithm is to construct two sets  $U$  and  $W$  from the set of the network nodes  $V$  such that  $U$  and  $W$  satisfy the following conditions:  $V = U \cup W$ ,  $U \cap W = \phi$  and  $|U| = |W| = n/2$ . The sets are constructed in an incremental fashion. At each step  $i$ ,  $u_i$  and  $w_i$  are added to  $U$  and  $W$  respectively, where  $1 \leq i \leq n/2$  and  $u_i, w_i \in V - \{U + W\}$ . The pair  $(u_i, w_i)$  is chosen such that  $RT(u_i, w_i)$ , the round-trip distance between  $u_i$  and  $w_i$ , is minimum among all the pairs in  $V - \{U + W\}$ .

Now suppose  $u_i, u_j \in U$ ,  $w_i \in W$ , and  $i < j$ . Also let  $RT(u_i, u_j) = z$ ,  $RT(u_i, w_i) = y$ , and  $RT(u_j, w_i) = x$ . See figure 1.

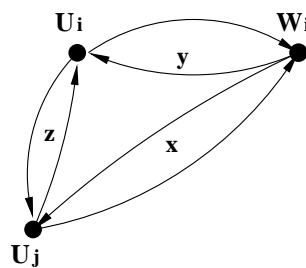


Fig. 1. Round-trip distance for  $u_i, w_i$  and  $u_j$

**Observation 1.** *Given the above construction,  $RT(w_i, u_i) \leq RT(u_j, w_i)$  and  $RT(w_i, u_i) \leq RT(u_j, u_i)$ , i.e.,  $y \leq x$  and  $y \leq z$ .*

**Proof.** The proof follows from the fact that if  $RT(u_j, w_i)$  was less than  $RT(u_i, w_i)$ , then the pair  $(u_j, w_i)$  would have been selected before  $(u_i, w_i)$ . Similar proof applies for  $(u_j, u_i)$ .  $\square$

Note the way the above construction scheme for  $U$  and  $W$  differs from that used in [8]. The above scheme guarantees  $RT(w_i, u_i) \leq RT(u_j, w_i)$  and  $RT(w_i, u_i) \leq RT(u_j, u_i)$  while that in [8] guarantees only  $d(w_i, u_i) \leq d(u_j, w_i)$ . The second condition i.e.,  $RT(w_i, u_i) \leq RT(u_j, u_i)$ , is crucial for the algorithm to work in the case of directed networks.

Consider the case when  $z \leq x$ . Using Observation 1, we can conclude that  $z + y \leq 2x$ . In other words, a packet going from  $u_j$  to  $w_i$  can be routed via  $u_i$  if we are ready to tolerate a round-trip stretch of 2. Thus we can omit the entry for  $w_i$  in the routing table of  $u_j$ . Let us now consider the other case when  $x \leq z$ . Again by Observation 1, we can conclude that  $x + y \leq 2z$ . Thus packets from  $u_j$  to  $u_i$  can be routed via  $w_i$ , making it possible to omit  $u_i$  in  $u_j$ 's routing table.

By the above discussion, it is clear that we can always omit  $j - 1$  entries from  $u_j$  and  $w_j$ . The drawback of this scheme is that there is no lower bound on the local space saved. For example at  $u_1$  and  $w_1$ , no space is saved. To overcome this, we use the approach used by Cowen [2] which stores the routing information in the header of the packets. For the node  $u_1$ , we choose  $k$  nodes from the set  $V - \{u_1 + w_1\}$ , and use headers of the form  $H(x_i) = (x_i, y_i, (u_1, P_{(x_i, u_1)}))$ , where  $k$  is the minimum number of entries we want to save at each node. Here,  $H(x_i)$  represents the header of the packet with destination  $x_i$  (one of the  $k$  nodes),  $x_i$  and  $y_i$  are the nodes added to  $U$  and  $W$  respectively in the  $i^{th}$  step, and  $P_{(x_i, u_1)}$  is the port on  $u_1$  which connects to the first node on the shortest path to  $x_i$ . Thus, we need not store an entry for  $x_i$  or any of the  $k$  nodes at  $u_1$ . In a similar manner, we choose  $k$  nodes for  $w_1$  from the set  $V - \{u_1 + w_1\}$ .

In general for  $u_i$ ,  $k - i + 1$  nodes are selected from the set  $V - \{u_1 + u_2 + \dots + u_i + w_1 + w_2 + \dots + w_i\}$ . If  $x_i$  is one of the  $k - i + 1$  nodes, we set the header of the packet with destination  $x_i$  as  $H(x_i) = (x_i, y_i, (u_i, P_{(x_i, u_i)}))$ . This way we can omit a total of  $(i - 1 + k - i + 1) = k$  entries at every node.

Note that for  $u_i$ ,  $k - i + 1$  nodes are selected from the set  $V - \{u_1 + u_2 + \dots + u_i + w_1 + w_2 + \dots + w_i\}$ , which is a dynamic set, i.e., it varies with  $i$ , while in [8] the set from which  $k - i + 1$  nodes were selected is  $V - \{u_1 + u_2 + \dots + u_k + w_1 + w_2 + \dots + w_k\}$ , which is static. This leads to a more optimized bound on  $k$  in case of the above algorithm.

## 4 The Algorithm

The routing algorithm consists of four parts: an initial construction where nodes are divided and paired according to some set criterion, a header construction where the header is constructed for each destination, a table construction which describes the routing table stored at each node, and the delivery protocol which describes how to identify the output port on which the packet gets sent, based on the header and local routing table.

#### 4.1 Initial Construction

Assume  $G = (V, E)$  is a connected directed network with  $n$  nodes. Here we construct two equal sets  $U$  and  $W$  such that  $U \cup W = V$ ,  $U \cap W = \phi$  and  $|U| = |W| = n/2$ .

- *Initiate:*  $U = W = \phi$
- For**  $i = 1$  to  $n/2$
- Find  $(u_i, w_i)$  such that  $RT(u_i, w_i)$  is minimum in  $V - \{U + W\}$
- Add  $u_i$  to  $U$  and  $w_i$  to  $W$

Note  $u_1, w_1$  will have the minimum round-trip distance among all the nodes.

#### 4.2 Header Construction

The header for any packet consists of a 3-tuple. The first entry for the header of a packet with destination  $u_i$  is  $u_i$  itself, the second consists of  $w_i$ . The third entry consists of a pair: a node and a port on that node which leads to the shortest path to  $u_i$ .

Here we construct a mapping which helps in selecting the third entry in the header. Map  $u_k$  to  $u_{n/2}$  and  $u_{k-1}$  to  $\{u_{n/2-1}, u_{n/2-2}\}$  where  $k < n$ . In general,  $u_{k-i}$  is mapped to  $\{u_{n/2-\sum_{j=1}^i j}, \dots, u_{n/2-(\sum_{j=1}^{i+1} j)+1}\}$ , denoted by  $M(u_{k-i})$ . Note  $|M(u_{k-i})| = |M(w_{k-i})| = i + 1$ . For the nodes  $M(u_{k-i})$ , the third entry in the header is selected as  $(u_{k-i}, P_{(x, u_{k-i})})$ , where  $x \in M(u_{k-i})$ . Formally, the  $i + 1$  headers for packets whose destination is a node in  $M(u_{k-i})$  are

$$H(u_{n/2-\sum_{j=1}^i j}) = (u_{n/2-\sum_{j=1}^i j}, w_{n/2-\sum_{j=1}^i j}, (u_{k-i}, P_{(u_{n/2-\sum_{j=1}^i j}, u_{k-i})}))$$

⋮

$$H(u_{n/2-(\sum_{j=1}^{i+1} j)+1}) =$$

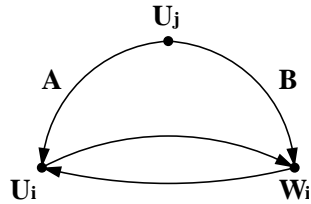
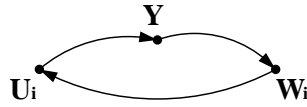
$$(u_{n/2-(\sum_{j=1}^{i+1} j)+1}, w_{n/2-(\sum_{j=1}^{i+1} j)+1}, (u_{k-i}, P_{(u_{n/2-(\sum_{j=1}^{i+1} j)+1}, u_{k-i})}))$$

The headers for destinations in  $W$  are constructed similarly.

#### 4.3 Constructing Tables

The following procedure is used to construct the routing table at node  $u_j$ . See figure 2.

- A node, say  $u_i$ , is not included in the routing table of  $u_j$  if any of the following conditions are satisfied.


**Fig. 2.** Table construction for  $u_j$ 

**Fig. 3.** The route from  $u_i$  to  $w_i$ 

- The node  $u_i \in M(u_j)$ , i.e., the third element in the header of  $u_i$  contains the field  $u_j$ .
- $A \geq B$  and  $RT(u_i, w_i) < RT(u_j, u_i)$ .
- $A \geq B$ ,  $RT(u_i, w_i) = RT(u_j, u_i)$ , and either of the two conditions is satisfied:
  - \*  $u_j$  does not lie on the round-trip path from  $u_i$  to  $w_i$ .
  - \*  $u_j$  lies on the round-trip path from  $u_i$  to  $w_i$  and  $j > i$ .
- Else,  $u_i$  is included in the routing table of  $u_j$ .

Similar procedure holds when deciding about  $w_i$ , except that  $w_i$  is not omitted from  $u_j$ 's routing table in the case when  $j > i$  and  $u_i$  has been omitted from  $u_j$ 's routing table.

#### 4.4 Delivery Protocol

A packet with header  $(u, w, (v, P_{(u,v)}))$  at node  $x$  is routed according to the following procedure.

- If  $x = v$ , then route along the port  $P_{(u,v)}$ .
- Else if  $u$  is in  $x$ 's routing table, then route along the port  $P_{(u,x)}$ .
- Else if  $w$  is in  $x$ 's routing table, then route along the port  $P_{(w,x)}$ .
- Else route fails.

### 5 Correctness Proof

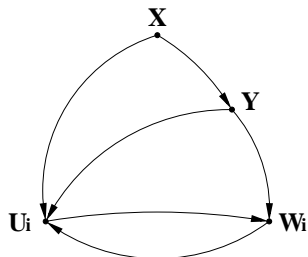
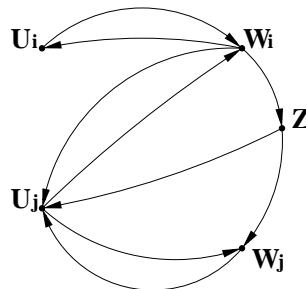
**Theorem 1.** *The routing algorithm is correct.*

**Proof.** There are four cases to consider.

**Case 5.1.** *A packet has to be routed from  $x (= u_i)$  to  $w_i$ .*

From the table construction scheme, it can be concluded that  $u_i$  will have an entry for  $w_i$ . Let  $y (= u_j)$  be any node between  $u_i$  and  $w_i$  (Fig. 3). Now we need to show that  $y$  has an entry for  $w_i$ . This gives five subcases.

1.  $d(y, u_i) > d(y, w_i)$ :  $y$  will have an entry for  $w_i$  because this subcase falls in the else part of the table construction scheme.
2.  $d(y, u_i) \leq d(y, w_i)$  and  $RT(y, w_i) < RT(u_i, w_i)$ : again  $y$  will include  $w_i$  because of the same reason as above.

Fig. 4. The route from  $x$  to  $w_i$ Fig. 5. The route from  $u_j$  to  $w_i$  and back

3.  $d(y, u_i) \leq d(y, w_i)$ ,  $RT(y, w_i) = RT(u_i, w_i)$ , and  $j < i$ : this subcase also falls in the else part of the table construction scheme. Hence,  $y$  will have an entry for  $w_i$ .
4.  $d(y, u_i) \leq d(y, w_i)$ ,  $RT(y, w_i) = RT(u_i, w_i)$ , and  $j > i$ : By using Observation 1,  $RT(u_i, w_i) \leq RT(y, u_i)$ . Also  $RT(u_i, w_i) \geq RT(y, u_i)$ , as  $y$  is on the round-trip path from  $u_i$  to  $w_i$ . This gives  $RT(u_i, w_i) = RT(y, u_i)$ . Expanding the above expression gives

$$d(u_i, y) + d(y, w_i) + d(w_i, u_i) = d(u_i, y) + d(y, u_i)$$

This implies

$$d(y, w_i) + d(w_i, u_i) = d(y, u_i)$$

As  $d(w_i, u_i) > 0$ , we get  $d(y, w_i) < d(y, u_i)$  which contradicts the condition assumed. Hence this subcase cannot exist.

Note that if a packet has to be routed from  $y$  to  $u_i$  and  $j > i$ , then the path taken by the packet is via  $w_i$ . Also this path is equal to the shortest path from  $y$  to  $u_i$  as  $d(y, w_i) + d(w_i, u_i) = d(y, u_i)$ .

5.  $d(y, u_i) \leq d(y, w_i)$  and  $RT(y, w_i) > RT(u_i, w_i)$ : As  $y$  is an intermediate node on a round-trip from  $u_i$  to  $w_i$ , hence  $RT(y, w_i) \leq RT(u_i, w_i)$ . This is again a contradiction. Thus this subcase cannot exist.

Hence the packet can always be routed from  $u_i$  to  $w_i$ .

**Case 5.2.** A packet has to be routed from  $x$  ( $\neq u_i$ ) to  $w_i$  and

$d(x, u_i) > d(x, w_i)$  (Fig. 4).

As  $d(x, u_i) > d(x, w_i)$ ,  $x$  will have an entry for  $w_i$  in its routing table. Let  $y$  be any node on the shortest path from  $x$  to  $w_i$ . Using the triangle inequality, we have  $d(x, y) + d(y, u_i) > d(x, u_i)$ . As  $d(x, u_i) > d(x, y) + d(y, w_i)$ , we get

$$d(x, y) + d(y, u_i) > d(x, u_i) > d(x, y) + d(y, w_i)$$

Thus,  $d(y, u_i) > d(y, w_i)$ . This implies that  $y$  will also contain an entry for  $w_i$  and the packet will eventually reach  $w_i$ .

**Case 5.3.** A packet has to be routed from  $x$  ( $\neq u_i$ ) to  $w_i$  with the following conditions:

$$d(x, u_i) \leq d(x, w_i) \text{ and } RT(x, w_i) < RT(u_i, w_i)$$

The table construction scheme and the latter condition imply that  $x$  will contain an entry for  $w_i$ . Now suppose  $y$  is any node on the shortest path from  $x$  to  $w_i$  (Fig 4). Using the fact that  $RT(y, w_i) \leq RT(x, w_i)$  and  $RT(x, w_i) < RT(u_i, w_i)$ , it can be concluded that

$$RT(y, w_i) < RT(u_i, w_i)$$

Hence,  $y$  will have an entry for  $w_i$ .

**Case 5.4.** *A packet has to be routed from  $x$  ( $\neq u_i$ ) to  $w_i$  with the following conditions:*

$$d(x, u_i) \leq d(x, w_i) \text{ and } RT(u_i, w_i) \leq RT(x, w_i)$$

If  $x$  is on the round-trip path from  $u_i$  to  $w_i$ , this reduces to Case 5.1. Otherwise the entry for  $w_i$  is omitted from  $x$ . Hence the packet moves towards  $u_i$ . Let  $z$  ( $= u_j$ ) be a node on the shortest path from  $x$  to  $u_i$ . There are two subcases.

- $z$  does not have an entry for  $w_i$ . The packet is routed towards  $u_i$ . Once it reaches  $u_i$ , this reduces to Case 5.1.
- $z$  has an entry for  $w_i$ . This is possible under three scenarios.
  - $d(z, w_i) < d(z, u_i)$
  - $d(z, w_i) \geq d(z, u_i)$  and  $RT(z, w_i) < RT(u_i, w_i)$
  - $z$  lies on the round-trip path from  $u_i$  to  $w_i$ ,  $j < i$ , and  $RT(z, w_i) = RT(u_i, w_i)$ .

The first two scenarios represent Case 5.2 and Case 5.3, respectively. The third scenario reduces to Case 5.1. Hence the packet will be routed to  $w_i$  via  $z$ .

Similar proof holds for destination  $u_i$ . □

## 6 Complexity Analysis

In this section, we find the bounds on maximum space and round-trip stretch.

### 6.1 Round-Trip Stretch

**Theorem 2.** *The upper bound on round-trip stretch for the algorithm presented in Section 4 is two.*

**Proof.** There are four cases to be considered. See figure 5.

**Case 1.** *A packet has to be routed from  $x$  ( $= u_i$ ) to  $w_i$ .*



This corresponds to the first case in the previous section. Remember that any node between  $u_i$  and  $w_i$  will have an entry for  $w_i$  and a node between  $w_i$  and  $u_i$  will have entry for  $u_i$ . Thus the round-trip stretch for  $(u_i, w_i)$  is 1.

For the rest of the cases, we will consider the round-trip from  $x$  ( $\neq u_i$ ) to  $w_i$  as the route for the packet.

**Case 2.** *A packet has to be routed on the round-trip path from  $x$  (where  $x \neq u_i$  and  $x = u_j$ ) to  $w_i$  with the condition that  $x$  has an entry for  $w_i$  and  $w_i$  has an entry for  $u_j$ .*

As  $u_j$  has an entry for  $w_i$ , so any node between  $u_j$  and  $w_i$  will also have an entry for  $w_i$  (Case 5.2.). Similar argument holds for any node between  $w_i$  and  $u_j$ . Hence the packet will travel through the shortest round-trip path between  $u_j$  and  $w_i$ . This again gives a round-trip stretch of 1.

**Case 3.** *A packet has to be routed on the round-trip path from  $x$  (where  $x \neq u_i$  and  $x = u_j$ ) to  $w_i$  and  $x$  has an entry for  $w_i$  while  $w_i$  does not have an entry for  $u_j$ .*

The packet will travel from  $u_j$  to  $w_i$  along the shortest path. From  $w_i$ , it will be routed towards  $w_j$ . There are two subcases to be considered.

- None of the nodes between  $w_i$  and  $w_j$  has an entry for  $u_j$ . In this subcase, the packet reaches  $w_j$  and from there it goes to  $u_j$ . This gives

$$RT(R, u_j, w_i) = d(u_j, w_i) + d(w_i, w_j) + d(w_j, u_j)$$

Note,  $d(w_i, w_j) \leq d(w_i, u_j)$  and  $RT(u_j, w_j) \leq RT(u_j, w_i)$  because  $w_i$  does not have an entry for  $u_j$ . Thus,

$$\begin{aligned} RT(R, u_j, w_i) &\leq d(u_j, w_i) + d(w_i, u_j) + d(w_j, u_j) \\ &\leq RT(u_j, w_i) + RT(u_j, w_j) \\ &\leq 2RT(u_j, w_i) \end{aligned}$$

Hence we obtain a round-trip stretch of 2.

- There is a node between  $w_i$  and  $w_j$  which has an entry for  $u_j$ . Let the first node between  $w_i$  and  $w_j$  which has entry for  $u_j$  be  $z$ . The path taken by the packet is from  $w_i$  to  $z$  and then  $z$  to  $u_j$ . As  $d(w_i, z) + d(z, u_j) \leq d(w_i, w_j) + d(w_j, u_j)$  and  $d(w_i, w_j) \leq d(w_i, u_j)$ , we get

$$\begin{aligned} RT(R, u_j, w_i) &= d(u_j, w_i) + d(w_i, z) + d(z, u_j) \\ &\leq d(u_j, w_i) + d(w_i, u_j) + d(w_j, u_j) \\ &\leq RT(u_j, w_i) + RT(u_j, w_j) \\ &\leq 2RT(u_j, w_i) \end{aligned}$$

This also gives a round-trip stretch of 2.

**Case 4.** *A packet has to be routed on the round-trip path from  $x$  (where  $x \neq u_i$  and  $x = u_j$ ) to  $w_i$  and  $w_i$  has an entry for  $u_j$  while  $u_j$  does not have an entry for  $w_i$ .*

Using a similar argument as in the previous case, it can be proved that a round-trip stretch of 2 results.

Note that we cannot have a case where neither  $u_j$  has an entry for  $w_i$  nor  $w_i$  has an entry for  $u_j$ . Thus, the round-trip stretch for the algorithm is bounded by 2.  $\square$

## 6.2 Maximum Local Space

**Lemma 1.** *Node  $u_i$  has an entry for either  $u_j$  or  $w_j$ , where  $u_i, u_j, w_j \in V$  and  $i > j$ .*

**Proof.** By using Observation 1, it can be concluded that  $RT(u_j, w_j) \leq RT(u_j, u_i)$  and  $RT(u_j, w_j) \leq RT(w_j, u_i)$ . There are 2 cases to be considered.

**Case 6.1.** *The round-trip path from  $u_j$  to  $w_j$  contains  $u_i$ .*

As  $u_i$  is an intermediate node on the round-trip path from  $u_j$  to  $w_j$ , hence  $RT(u_i, w_j) \leq RT(u_j, w_j)$ . Thus we can conclude that  $RT(u_i, w_j) = RT(u_j, w_j)$ . It can be easily verified that for the above condition, either  $w_j$  or  $u_j$  is included in  $u_i$ 's routing table.

**Case 6.2.**  *$u_i$  does not lie on the round-trip path from  $u_j$  to  $w_j$ .*

There are three subcases to be considered.

- $d(u_i, u_j) < d(u_i, w_j)$ . From the table construction scheme, it can be concluded that only  $u_j$  is included in  $u_i$ 's routing table.
- $d(u_i, u_j) = d(u_i, w_j)$ . Only  $w_j$  will be included in  $u_i$ 's routing table as  $RT(u_i, u_j) \geq RT(u_j, w_j)$ .
- $d(u_i, u_j) > d(u_i, w_j)$ . Again, only  $w_j$  will be included in  $u_i$ 's routing table.  $\square$

**Theorem 3.** *The maximum local space at any node for the algorithm in Section 4 is  $[n - \sqrt{n}(\sqrt{1 - 7/4n}) - 1/2] \log n$ .*

**Proof.** To get the upper bound for maximum local space, consider a node  $u_i$ , where  $i < k$ . Using Lemma 1 and the header construction scheme, we can omit  $i - 1 + |M(u_i)| = i - 1 + k - i + 1 = k$  entries at  $u_i$ . To bound  $k$ , note that

$$\sum_{i=1}^k |M(u_i)| = \sum_{j=1}^k j \leq n/2 - 1$$

which gives  $k(k+1) \leq n - 2$ . It can be easily verified the  $k = (-1 + \sqrt{4n - 7})/2$  satisfies the inequality. Thus the maximum local space at any node is  $(n - 1 - k) \log n = [n - \sqrt{n}(\sqrt{1 - 7/4n}) - 1/2] \log n$ .  $\square$

### 6.3 Maximum Global Space

From the algorithm, it can be seen that for any node  $u_i$  or  $w_i$ , where  $i \leq k$ ,  $k$  entries are saved. This means that the total space occupied by the routing table on the first  $k$  nodes in  $U$  and  $W$  is  $2(n-1-k)k \log n$ . For other nodes  $u_i$  and  $w_i$ ,  $i-1$  entries are saved on each node. This gives a total table space of  $2 \sum_{i=n/2}^{n-1-k} i \log n$ . Thus the total space for all the nodes is  $2((n-k-1)k + \sum_{i=n/2}^{n-1-k} i) \log n$ . Substituting the value of  $k$  in the above expression gives the total space as  $(3n^2/4 - 3n/2 + 2) \log n$ .

Note that this scheme saves on an additional  $n-2$  entries by using the technique used by Cowen [2] to store the routing information in the packet's header.

## 7 Conclusion

We presented a compact routing algorithm with stretch less than 3 for directed networks. Although for stretch less than 3, the lower bound for the total routing information on the network is  $\Omega(n^2)$  bits, nevertheless we examined it for directed networks to determine the best possible saving in space. The minimum local memory needed for stretch between 2 and 3 is  $(n - 2\sqrt{n}) \log n$  for undirected networks [8], while this algorithm requires a maximum local space of  $[n - \sqrt{n}(\sqrt{1 - 7/4n}) - 1/2] \log n$  with a stretch of 2 for directed networks.

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