

CS 401

P and NP

Xiaorui Sun

Stuff

Homework 4 due tomorrow

Homework 5 will be released later today (due May 6)

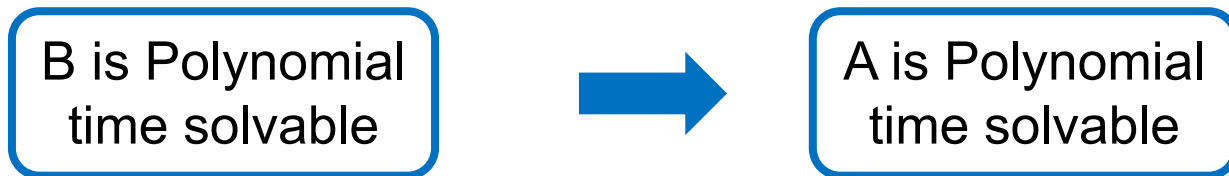
- Helpful for final exam preparation

Polynomial Time Reduction

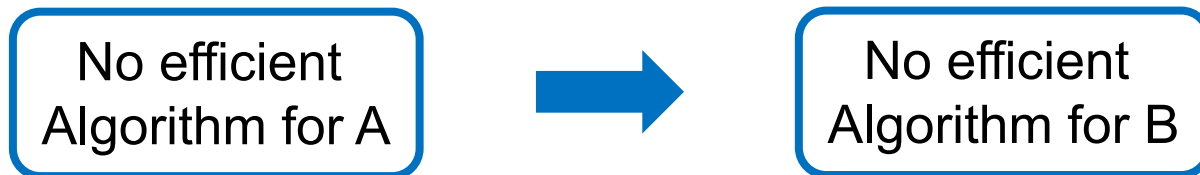
Def $A \leq_p B$: if there is an **algorithm** for problem A using a ‘**black box**’ (subroutine) that solve problem B s.t.,

- Algorithm uses only a polynomial number of steps
- Makes only a polynomial number of calls to a subroutine for **B**

So,



Conversely,



In words,

- Problem A is **polynomial-time reducible to** problem B
- B is as hard as A (it can be even harder)
- Informally, A is a special case of B

Polynomial Time Reduction

Basic reduction strategies

- Reduction by simple equivalence.
- Reduction from special case to general case.
- Reduction by encoding with gadgets.



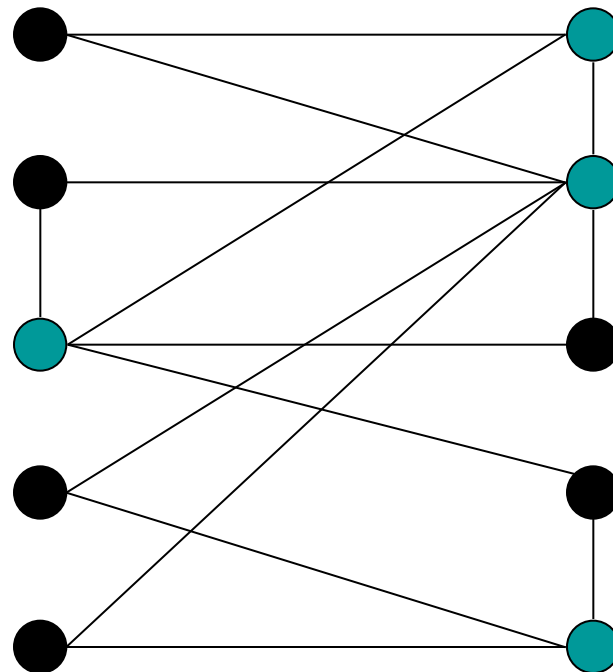
More advanced technique, read KT 8.2

Example 1: Vertex Cover \equiv_p Indep Set

VERTEX COVER: Given a graph $G = (V, E)$ and an integer k , is there a subset of vertices $S \subseteq V$ such that $|S| \leq k$, and for each edge, at least one of its endpoints is in S ?

Ex. Is there a vertex cover of size ≤ 4 ? Yes.

Ex. Is there a vertex cover of size ≤ 3 ? No.



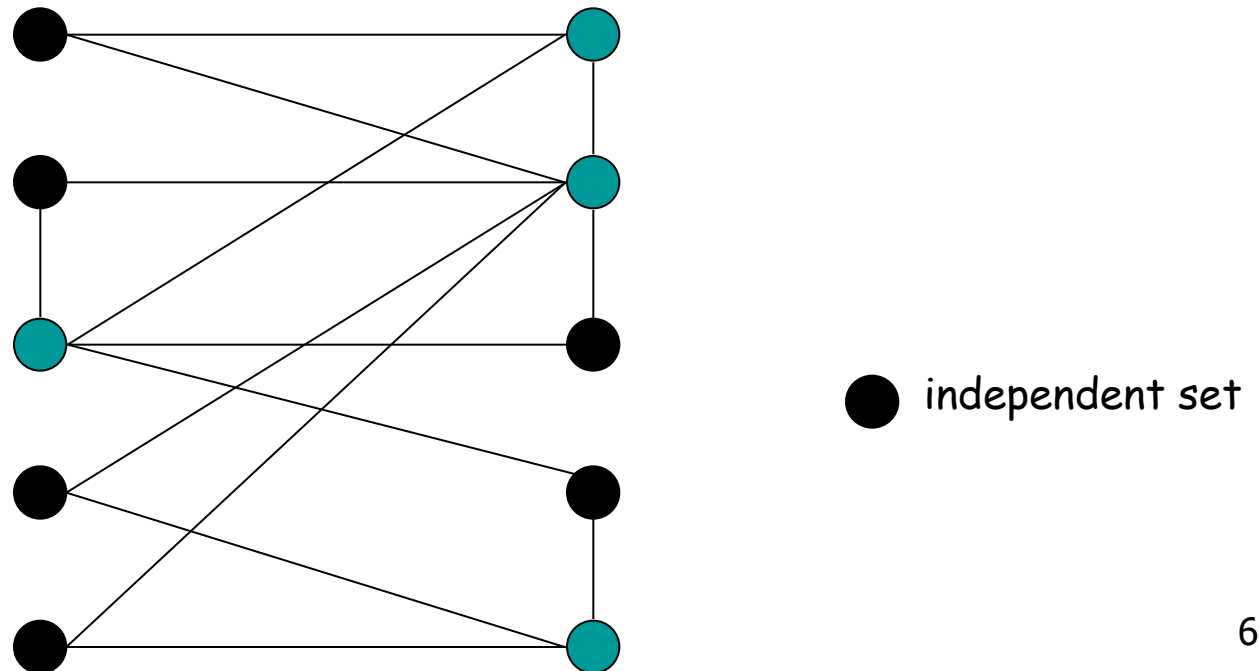
 vertex cover

Example 1: Vertex Cover \equiv_p Indep Set

INDEPENDENT SET: Given a graph $G = (V, E)$ and an integer k , is there a subset of vertices $S \subseteq V$ such that $|S| \geq k$, and for each edge at most one of its endpoints is in S ?

Ex. Is there an independent set of size ≥ 6 ? Yes.

Ex. Is there an independent set of size ≥ 7 ? No.



Example 1: Vertex Cover \equiv_p Indep Set

Claim: For any graph $G = (V, E)$, S is an independent set iff $V - S$ is a vertex cover

Pf: \Rightarrow

Let S be an independent set of G

Then, S has **at most one** endpoint of every edge of G

So, $V - S$ has at least one endpoint of every edge of G

So, $V - S$ is a vertex cover.

\Leftarrow Suppose $V - S$ is a vertex cover

Then, there is no edge between vertices of S (otherwise, $V - S$ is not a vertex cover)

So, S is an independent set.

To show Vertex Cover \leq_p Indep Set:

Algorithm for Vertex Cover(G, k)

- Run Indep Set($G, n - k$)
- Return the solution of Step 1

Polynomial Time Reduction

Basic reduction strategies

- Reduction by simple equivalence.
- Reduction from special case to general case.

Example 2: Vertex Cover \leq_p Set Cover

SET COVER: Given a set U of elements, a collection S_1, S_2, \dots, S_m of subsets of U , and an integer k , does there exist a collection of $\leq k$ of these sets whose union is equal to U ?

Ex:

$$U = \{1, 2, 3, 4, 5, 6, 7\}$$

$$k = 2$$

$$S_1 = \{3, 7\}$$

$$S_4 = \{2, 4\}$$

$$S_2 = \{3, 4, 5, 6\}$$

$$S_5 = \{5\}$$

$$S_3 = \{1\}$$

$$S_6 = \{1, 2, 6, 7\}$$

Example 2: Vertex Cover \leq_p Set Cover

Claim: VERTEX-COVER \leq_p SET-COVER.

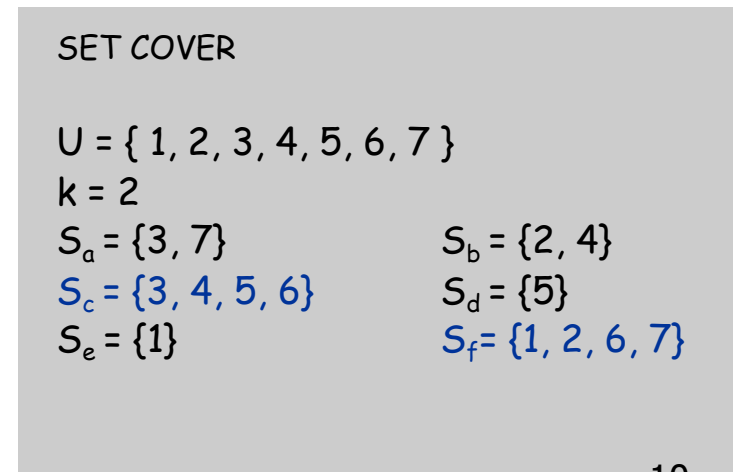
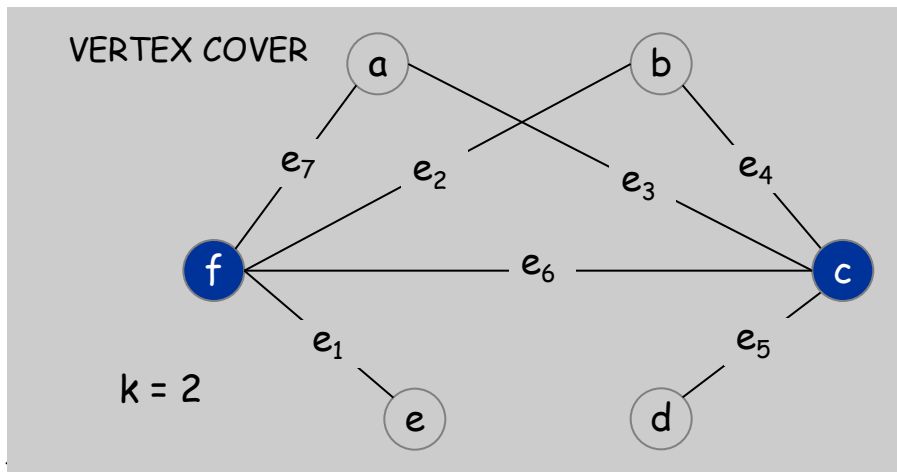
Pf: Given a VERTEX-COVER instance $G = (V, E)$, k , we construct a set cover instance whose size equals the size of the vertex cover instance.

Construction:

Create SET-COVER instance:

- $k = k$, $U = E$, $S_v = \{e \in E : e \text{ incident to } v\}$

Set-cover of size $\leq k$ iff vertex cover of size $\leq k$. ■



Review

Basic reduction strategies:

- Simple equivalence: $\text{INDEPENDENT-SET} \equiv_p \text{VERTEX-COVER}$.
- Special case to general case: $\text{VERTEX-COVER} \leq_p \text{SET-COVER}$.

Transitivity. If $X \leq_p Y$ and $Y \leq_p Z$, then $X \leq_p Z$.

Ex: $\text{INDEPENDENT-SET} \leq_p \text{VERTEX-COVER} \leq_p \text{SET-COVER}$.

P and NP

Decision Problems

Decision problem

- X is a set of strings.
- Instance: string s .
- Algorithm A solves problem X : $A(s) = \text{yes}$ iff $s \in X$.

Polynomial time Algorithm A runs in poly-time if for every string s , $A(s)$ terminates in at most $p(|s|)$ "steps", where $p(\cdot)$ is some polynomial.

↑
length of s

PRIMES: $X = \{ 2, 3, 5, 7, 11, 13, 17, 23, 29, 31, 37, \dots \}$

Algorithm [Agrawal-Kayal-Saxena, 2002] $p(|s|) = |s|^8$.

Definition of P

P: **Decision** problems for which there is a poly-time algorithm.

Problem	Description	Algorithm	Yes	No
MULTIPLE	Is x a multiple of y ?	Long division	51, 17	51, 16
RELPRIME	Are x and y relatively prime?	Euclid (300 BCE)	34, 39	34, 51
PRIMES	Is x prime?	AKS (2002)	53	51
EDIT-DISTANCE	Is the edit distance between x and y less than 5?	Dynamic programming	niether neither	acgggt tttta
LSOLVE	Is there a vector x that satisfies $Ax = b$?	Gauss-Edmonds elimination	$\begin{bmatrix} 0 & 1 & 1 \\ 2 & 4 & -2 \\ 0 & 3 & 15 \end{bmatrix}, \begin{bmatrix} 4 \\ 2 \\ 36 \end{bmatrix}$	$\begin{bmatrix} 1 & 0 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$

NP

Certification algorithm intuition

- Certifier views things from "managerial" viewpoint.
- Certifier doesn't determine whether $s \in X$ on its own; rather, it checks a proposed proof t that $s \in X$.

Def Algorithm $C(s, t)$ is a **certifier** for problem X if for every string s , $s \in X$ iff there exists a string t such that $C(s, t) = \text{yes}$.

↑
"certificate" or "witness"

NP Decision problems for which there exists a **poly-time** certifier.

↑
 $C(s, t)$ is a poly-time algorithm and
 $|t| \leq p(|s|)$ for some polynomial $p(\cdot)$.

Remark NP stands for **nondeterministic** polynomial-time.