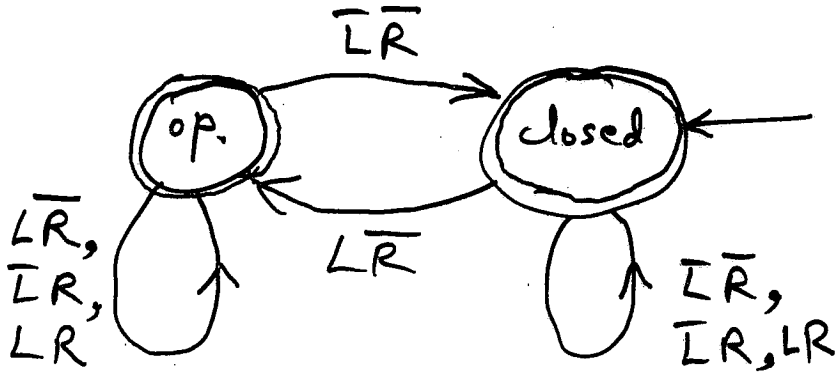


	$(\bar{L}\bar{R})$	$L\bar{R}$	$\bar{L}R$	$LR$
open	closed	open	open	open
closed	closed	open	<del>open</del> closed	closed



- Design for sliding door  
(1-way) (2-way)

- Markov-chains: probabilistic

- Specification  $\leftrightarrow$  FSM  $\leftrightarrow$  state diagram  $\leftrightarrow$  5-tuple

$\leftrightarrow$  Language  $\leftrightarrow$  Grammar

- Machine  $M$  recognizes language  $A \equiv \{w \mid M \text{ accepts } w\}$   
 $L(M)$  only each  $w \in A$

- Machine  $M$  accepts a word/string  $w \in A$

- Computation of  $w$  on  $M$ :  $r_0, w_1, r_1, w_2, r_2, \dots$   
(=  $q_0$ )

$w_n, r_n$   
( $\in F$ )  
?

$$M: \Sigma = \{0, 1, 2, 3\}$$

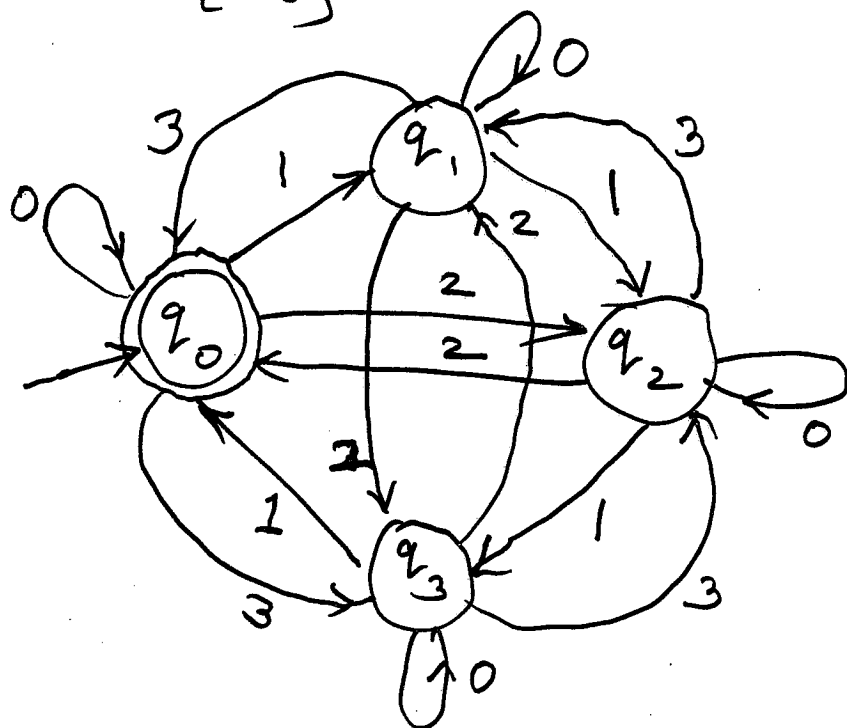
$$Q = \{q_0, q_1, q_2, q_3\}$$

$$\delta =$$

$$q'_0 = q_0$$

$$F = \{q_0\}$$

$$L(M) = \left\{ w \mid \left( \begin{array}{l} \text{sum of symbol} \\ \text{in } w \end{array} \right) \pmod{4} = 0 \right\}$$



$$M: \Sigma = \{0, 1, 2\}$$

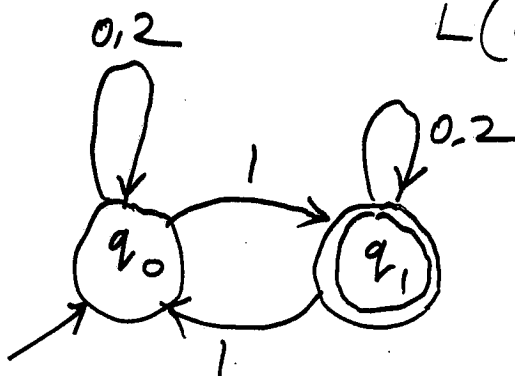
$$Q = \{q_0, q_1\}$$

$$\delta =$$

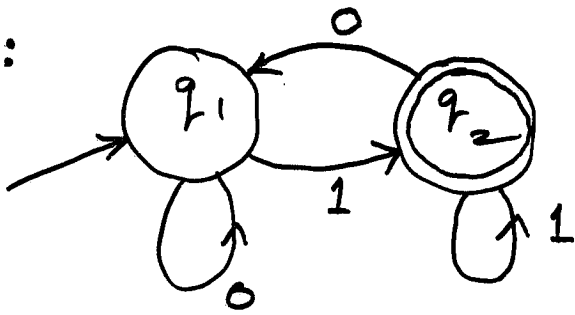
$$q'_0 = q_0$$

$$F = \{q_1\}$$

$$L(M) = \left\{ w \mid \begin{array}{l} w \text{ has an} \\ \text{odd number} \\ \text{of 1's} \end{array} \right\}$$



M:



$$L(M) = \{w \mid w \text{ ends in } a 1\}$$

$$0010 \notin L(M)$$

$$00101 \in L(M)$$

$$q_1, q_1, q_1, q_2, q_2$$

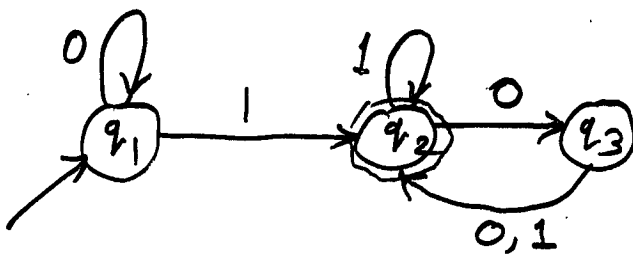
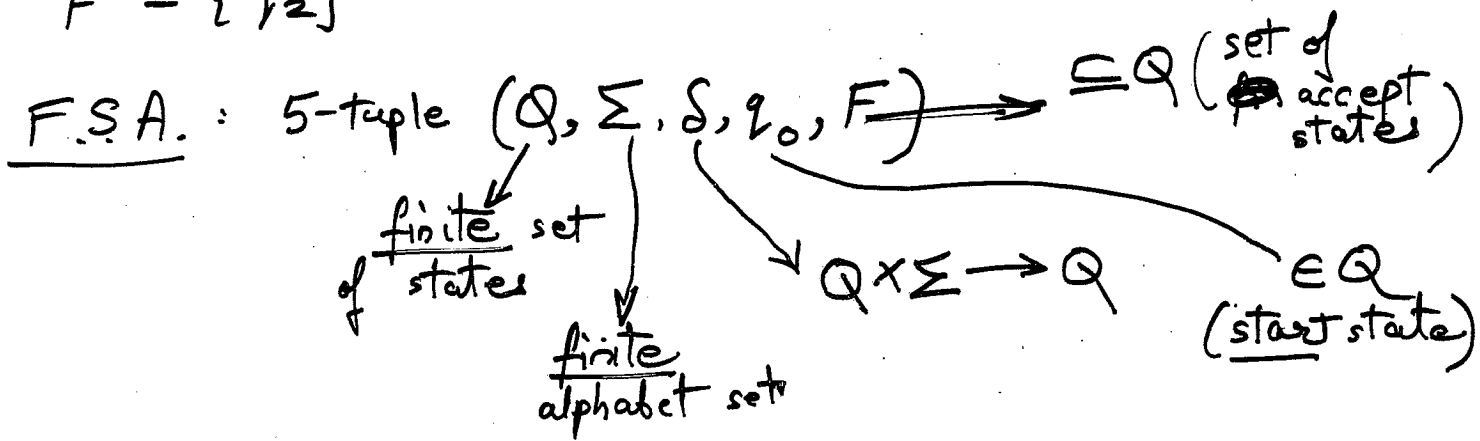
$$Q = \{q_1, q_2\}$$

$$\Sigma = \{0, 1\}$$

$$\delta = \begin{array}{c|cc} & 0 & 1 \\ \hline q_1 & q_1 & q_2 \\ q_2 & q_1 & q_2 \end{array}$$

$$q_0 = q_1$$

$$F = \{q_2\}$$



$$L(M) = \{w \mid w \text{ has an even \# of } 0\text{'s following the last } 1\}$$

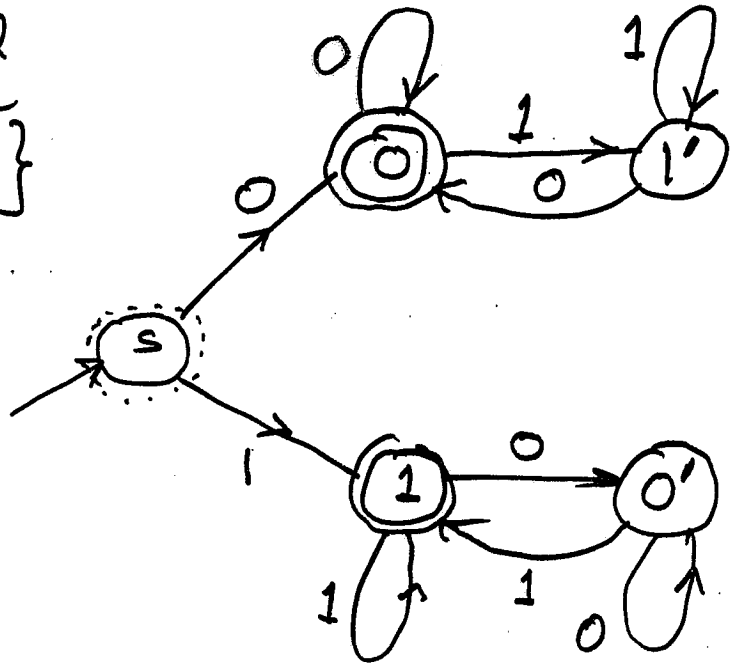
Defn: M accepts  $w$  if a seq of states  $r_0, r_1, r_2, \dots, r_n$  ( $w_1, w_2, \dots, w_n$ ) such that:

- $r_0 = q_0$
- for  $i \in [0, n-1]$   $r_{i+1} = \delta(r_i, w_{i+1})$
- $r_n \in F$

$L(M) = \{ w \mid w \text{ begins and ends with the same alphabet} \}$

$\Sigma = \{0, 1\}$

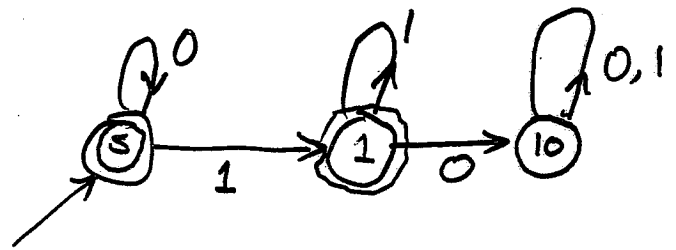
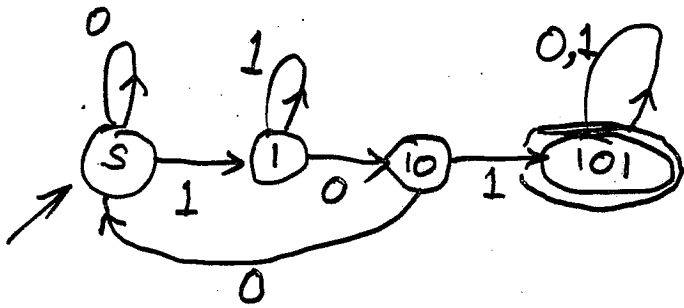
// 'ε' for empty string



$\Sigma = \{0, 1\}$

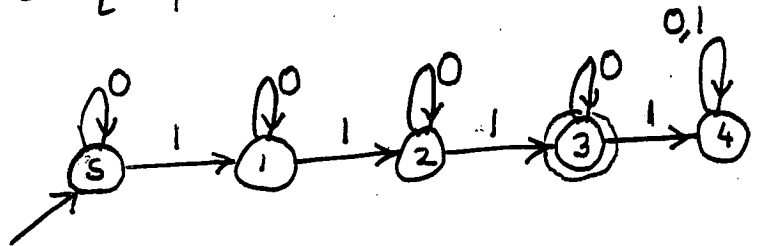
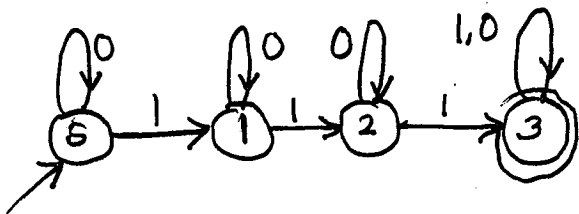
$A = \{ x \mid '101' \text{ is substring} \}$

$B = \{ x \mid '10' \text{ is not a substring} \}$



$A = \{ x \mid x \text{ has } \geq 3 \text{ '1's} \}$

$B = \{ x \mid x \text{ has exactly 3 '1's} \}$



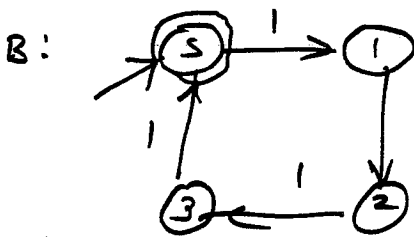
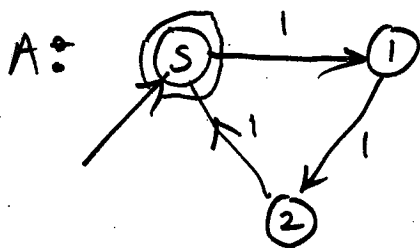
Concat A o B with DFA's ?

Defn: Regular language is one that is recognized by some F.S.A.

- $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$   
 eg 1:  $A = \{x \mid x \text{ is a multiple of } 3\}$   
 $B = \{x \mid x \text{ is a multiple of } 4\}$  ]  $\Sigma = \{1\}$
- $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$   
 eg 1:  $A = \{x \mid '101' \text{ is a substring}\}$   
 $B = \{x \mid '10' \text{ is not a substring}\}$  ]  $\Sigma = \{0,1\}$
- $A^* = \{x_1 x_2 \dots x_k \mid k \geq 0 \text{ and each } x_i \in A\}$

Defn: CLOSURE of a SET under an OPERATION  
 eg  $(\mathcal{N}, +)$

Theorem: The set of regular Langs. is closed under  $\cup$   
 $\Sigma = \{1\}$



Proof:  $M(A) = M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$

$M(B) = M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$

Construct  $M(A \cup B) = M = (Q, \Sigma, \delta, q_0, F)$

$$- Q = \{(r_1, r_2) \mid r_1 \in Q_1 \text{ and } r_2 \in Q_2\}$$

$$- \delta((r_1, r_2), a) = (\delta_1(r_1, a), \delta_2(r_2, a))$$

$$Q \times \Sigma \rightarrow Q$$

$$- q_0 = (q_1, q_2)$$

$$- F = \{(r_1, r_2) \mid r_1 \in F_1 \text{ or } r_2 \in F_2\}$$

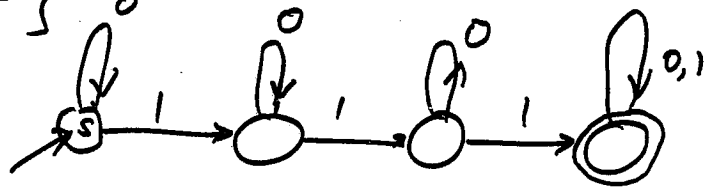
$$= F_1 \times Q_2 \cup Q_1 \times F_2$$

Q.E.D.

⊙  $L(M) = \{x \mid x \text{ contains } \underset{1}{3} \text{ '1's'}\}$  (at least)

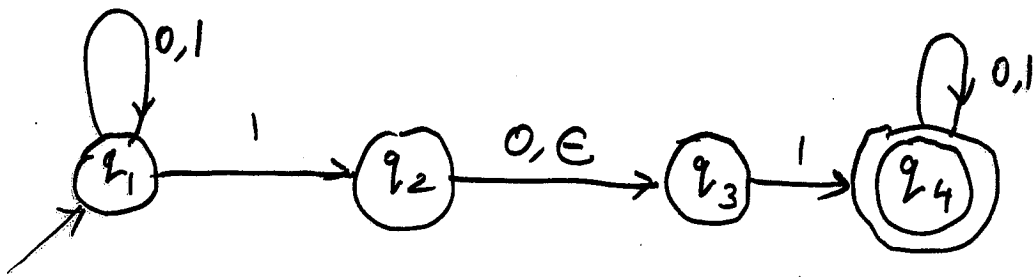
A

$$\Sigma = \{0, 1\}$$



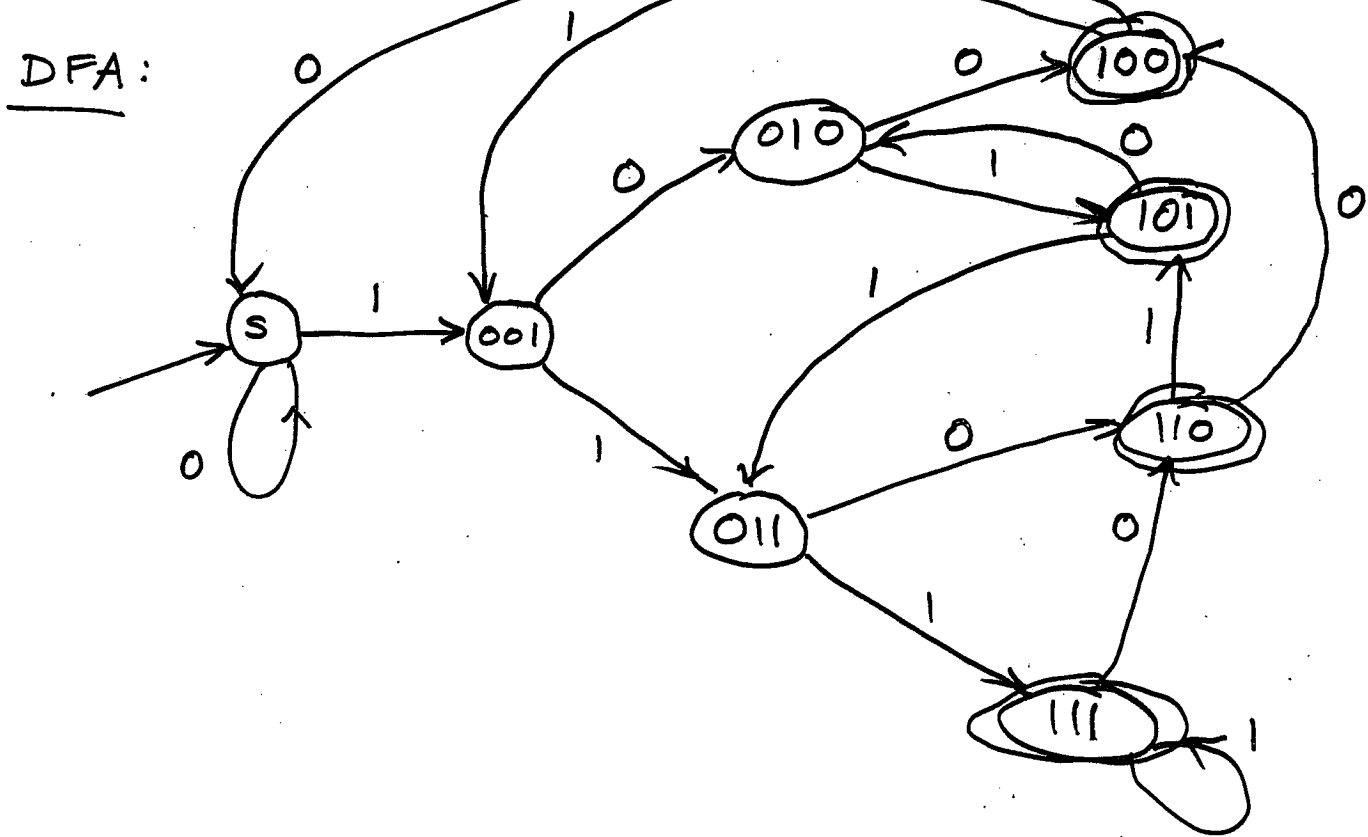
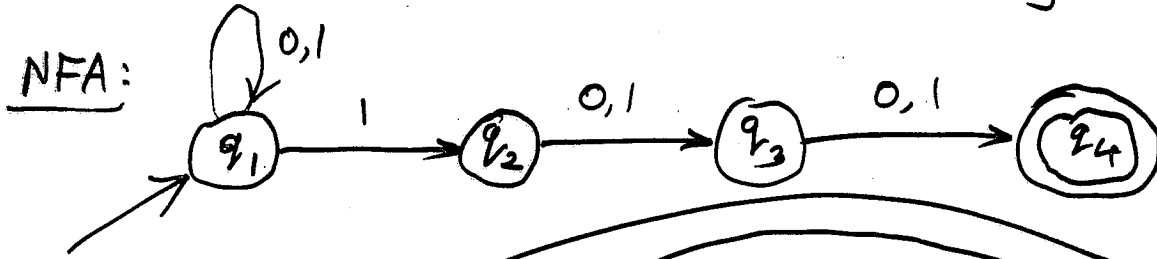
⊙  $L(M) = \{x \mid \underset{B}{3^{\text{rd}} \text{ last symbol}} \text{ is '1'}\}$

- Prove CLOSURE under  $\cap$
- " " " complement

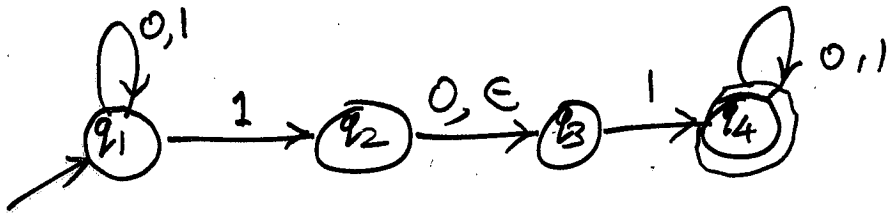


$L(M) = \{x \mid '101' \text{ or } '11' \text{ are substrings of } x\}$

$L(M) = \{x \mid 3^{\text{rd}} \text{ last symbol is } '1'\}$



Non-deterministic FSMs (NFA) // or "the power of CHOICE"



$$\Sigma = \{0,1\}$$

NFA vs DFA

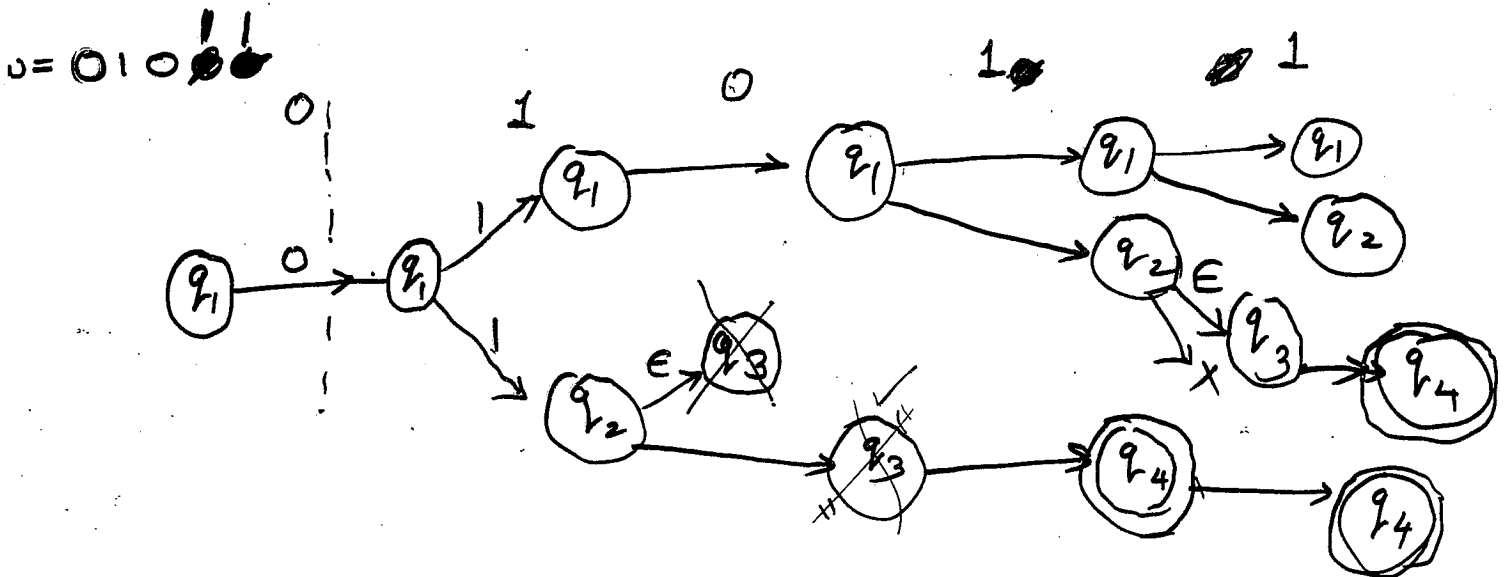
$$\textcircled{1} \quad \forall q \in Q, \forall a \in \Sigma, \delta(q, a) = \{ \quad \}$$

↪ set of  $\geq 0$  elements

eg:  $\delta(3, 0) = \{ \}$

$\delta(1, 1) = \{1, 2\}$

$\textcircled{2} \quad \forall q \in Q, 0$  or more out-edges labelled  $\epsilon$



After any symbol, DFA-equivalent of NFA has to remember that it is in '1' state out of  $2^{|Q|}$  states.



Theorem: Every NFA has an equiv. DFA.

Given

$$N = (Q_N, \Sigma, \delta_N, q_{0(N)}, F_N)$$

build  $D = (Q_D, \Sigma, \delta_D, q_{0(D)}, F_D)$

-  $Q_D = P(Q_N)$ , -  $q_{0(D)} = \{q_{0(N)}\}$  // power set

-  $\delta_D = ?$  For each 's' in  $Q_D$  and for 'a' in  $\Sigma$   
 $= Q_D \times \Sigma$

$$\delta_D(s, a) = \{q \in Q_N \mid q \in \delta_N(t, a), \text{ where } t \in S_D\}$$

(assume no  $\epsilon$  transitions yet)

(OR)  $\delta_D(s, a) = \bigcup_{t \in S} \delta_N(t, a)$

Now,  $\epsilon$ -transitions:

$$E(s) = \{q \mid q \text{ is reachable from } s \text{ by using } \geq 0 \text{ } \epsilon\text{-transitions}\}$$

$$\rightarrow \delta_D(s, a) = \{q \in Q_N \mid q \in E(\delta_N(t, a)), \text{ where } t \in S_D\}$$

$$\rightarrow q_{0(D)} = E(\{q_{0(N)}\}) \quad // \delta_N(E(t), a)$$

-  $F_D = \{s \in Q_D \mid s \text{ contains an accept state of } N\}$   
ie  $s \cap F_N \neq \emptyset$

Defn: 2 machines are "equivalent" if they recognize the same language

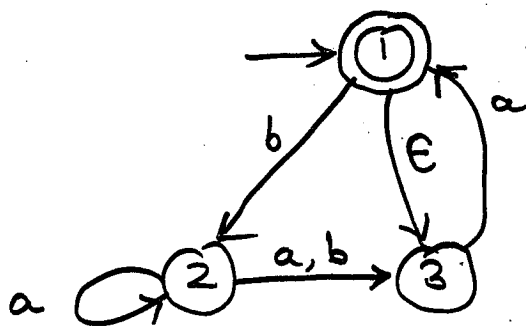
Corollary to Theorem: Lang L is regular  $\iff$  some NFA recognizes L

$\hookrightarrow$  by defn, some DFA recognizes L

NFA :

$$\Sigma = \{a, b\}$$

$$Q_N = \{1, 2, 3\}$$



$\delta$	a	b	$\epsilon$
1	$\phi$	$\{2\}$	$\{3\}$
2	$\{2, 3\}$	$\{3\}$	$\phi$
3	$\{1\}$	$\phi$	$\phi$

DFA :  $Q_D = \{ \phi, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{2, 3\}, \{1, 3\}, \{1, 2, 3\} \}$

$\delta_D$	a	b
$\{ \} = \phi$	$\phi$	$\phi$
$\{1\}$	<del><math>\phi</math></del>	$\{2\}$
$\{2\}$	$\{2, 3\}$	$\{3\}$
$\{3\}$	$\{1, 3\}$	$\phi$
$\{1, 2\}$	<del><math>\{1, 2, 3\}</math></del>	$\{2, 3\}$
$\{1, 3\}$	$\{1, 3\}$	$\{2\}$
$\{2, 3\}$	$\{2, 3, 1\}$	$\{3\}$
$\{1, 2, 3\}$	$\{1, 2, 3\}$	$\{2, 3\}$

$$q_D = \{ \} \{1, 3\}$$

$$F_D = \{ \{1\}, \{1, 2\}, \{1, 3\}, \{1, 2, 3\} \}$$

- Remove redundant states  $\{1\}, \{1, 2\}$   
// no in-edges
- What is significance of  $\phi$ ?

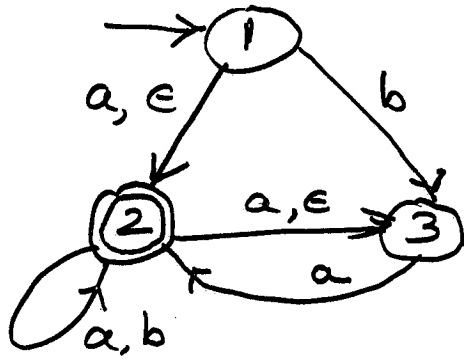
Defn: NFA  $N = (Q, \Sigma, \delta, q_0, F)$ , where  $\delta: Q \times \Sigma \rightarrow P(Q)$

Defn: "Computation".  $N$  accepts  $w$  if  $w$  can be written as  $y_1 y_2 y_3 \dots y_m$

where  $y_i \in \Sigma$  &  $r_0, r_1, \dots, r_m \in Q$  such that

- 1)  $r_0 = q_0$
- 2)  $r_{i+1} \in \delta(r_i, y_{i+1})$  for  $i = 0, 1, \dots, m-1$
- 3)  $r_m \in F$

# NFA



DFA:  $Q_D = \{$

$\delta_D$	a	b
$\phi$		
$\{1\}$	$\{2, 3\}$	$\{3\}$
$\{2\}$	$\{2, 3\}$	$\{2, 3\}$
$\{3\}$	$\{2, 3\}$	$\phi$
$\{1, 2\}$	$\{2, 3\}$	$\{2, 3\}$
$\{1, 3\}$	$\{2, 3\}$	$\{3\}$
$\{2, 3\}$	$\{2, 3\}$	$\{2, 3\}$
$\{1, 2, 3\}$	$\{2, 3\}$	$\{2, 3\}$

$$q_0(D) = \{ \cancel{\{1\}}, \cancel{\{2\}} \}$$

$$\{1, 2, 3\}$$

$$F_D = \{ \{2\}, \{1, 2\}, \{2, 3\}, \{1, 2, 3\} \}$$

# NFA vs DFA

- 1) easier to understand
- 2) smaller
- 3) easier to design
- 4) introduction to "nondeterminism" in more powerful models

CLOSURE under REGULAR OPS.  $\cup, \circ, *$

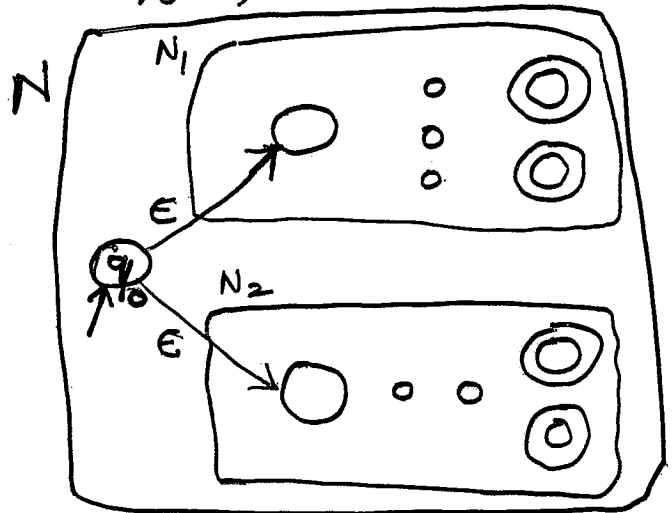
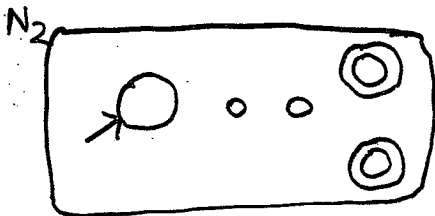
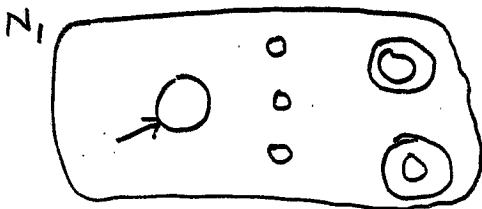
- easier to prove with NFA's

Theorem: set of regular languages closed under  $\cup$

$$L(N_1) = A_1 \quad N_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$$

$$L(N_2) = A_2 \quad N_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$$

$$L(N) = A_1 \cup A_2 \quad N = (Q, \Sigma, \delta, q_0, F)$$



$$- Q = Q_1 \cup Q_2 \cup \{q_0\}$$

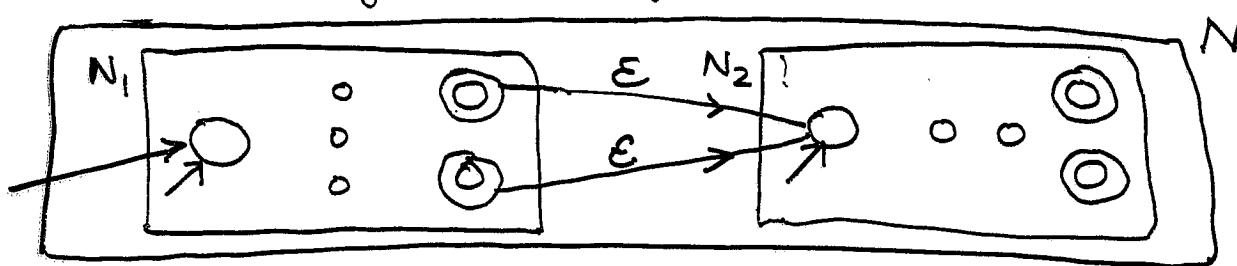
$$- F = F_1 \cup F_2$$

$$\delta(q, a) = \begin{cases} \delta_1(q, a) & \text{if } q \in Q_1 \\ \delta_2(q, a) & \text{if } q \in Q_2 \\ \{q_1, q_2\} & \text{if } q = q_0 \text{ and } a = \epsilon \\ \emptyset & \text{if } q = q_0 \text{ and } a \neq \epsilon \end{cases}$$

$$\begin{cases} \text{if } q \in Q_1 \\ \text{if } q \in Q_2 \\ \text{if } q = q_0 \text{ and } a = \epsilon \\ \text{if } q = q_0 \text{ and } a \neq \epsilon \end{cases}$$

eg:  $\Sigma = \{1\}$ ;  $A_1 = \{\text{multiple of 3 '1's}\}$   $A_2 = \{\text{multiple of 4 '1's}\}$

Theorem: Set of regular languages closed under concat

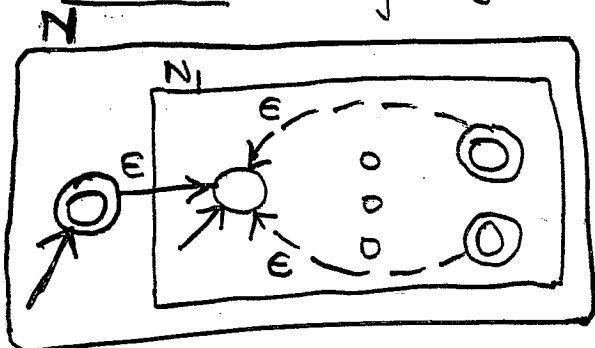


-  $Q = Q_1 \cup Q_2$       -  $q_0 = q_1$       -  $F = F_2$

$$\delta(q, a) = \begin{cases} \delta_1(q, a) & \text{if } q \in Q_1 \text{ and } q \notin F \\ \delta_1(q, a) & \text{if } q \in F_1 \text{ and } a \neq \epsilon \\ \delta_1(q, a) \cup \{q_2\} & \text{if } q \in F_1 \text{ and } a = \epsilon \\ \delta_2(q, a) & \text{if } q \in Q_2 \end{cases}$$

eg:  $\Sigma = \{0, 1\}$ ;  $A_1 = \{x \mid x \text{ has at least 3 '1's}\}$   
 $A_2 = \{x \mid x \text{ has exactly 3 '1's}\}$  or  $\{x \mid 3^{\text{rd}} \text{ bet is '1'}\}$

Theorem: set of regular languages closed under 'star'



$$N_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$$

$$N = (Q, \Sigma, \delta, q_0, F)$$

- $Q = Q_1 \cup \{q_0\}$
- $q_0 = \text{new state}$
- $F = F_1 \cup \{q_0\}$

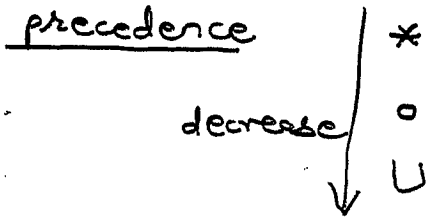
$$\delta(q, a) = \begin{cases} \delta_1(q, a) & \text{if } q \in Q_1 \text{ and } q \notin F_1 \\ \delta_1(q, a) & \text{if } q \in F_1 \text{ and } a \neq \epsilon \\ \delta_1(q, a) \cup \{q_1\} & \text{if } q \in F_1 \text{ and } a = \epsilon \\ \{q_1\} & \text{if } q = q_0 \text{ and } a = \epsilon \\ \phi & \text{if } q = q_0 \text{ and } a \neq \epsilon \end{cases}$$

Think: Why is  $q_0$  needed?

REGULAR EXPRESSION : Its value is a language  $\therefore L(R)$

eg  $(0 \cup 1) 1^*$   $\equiv$   $(\{0\} \cup \{1\}) \cdot \{1\}^*$

↑ union
↑ concat
↑ star



- $\Sigma$  : all strings of length '1' over alphabet set  $\Sigma$
- $\Sigma^*$  : all strings over that alphabet

Defn:  $R(\Sigma)$  is a regular expression if  $R$  is GRAMMAR

- 1)  $a$ , for any  $a \in \Sigma$  // language  $\{a\}$
- 2)  $\epsilon$  // language  $\{\epsilon\}$
- 3)  $\phi$  // language  $\{\}$
- 4)  $(R_1 \cup R_2)$ , where  $R_1$  and  $R_2$  are regular expressions
- 5)  $(R_1 \cdot R_2)$ , \_\_\_\_\_ " \_\_\_\_\_
- 6)  $R_1^*$ , \_\_\_\_\_ " \_\_\_\_\_

Note:  $R \cdot \epsilon = R$   
 $R \cdot \phi = \phi$

$R \cup \epsilon$  adds  $\epsilon$  to  $R$  i.e.,  $L(R) \cup \{\epsilon\}$   
 $R \cup \phi = R$

$\phi^* = \{\epsilon\}$

Practice: 1)  $\Sigma^* 10 \Sigma^*$   
 What is  $L(R(\Sigma))$  for  $\Sigma = \{0,1\}$   
 2)  $(\Sigma \Sigma)^*$   
 3)  $(1 \cup \epsilon) 0^*$

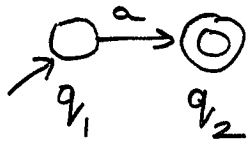
- 2)  $\Sigma^* 10 \Sigma \Sigma$
- 4)  $0 \Sigma^* 0 \cup 1 \Sigma^* 1 \cup 0 \cup 1$
- 6)  $(1 \cup \phi) 0^*$

//  $a^+$ ,  $\Sigma^+ = 1$  or more instances

Lemma:  $L(R)$  is a regular language.

(ie, lang described by (regular) expr is accepted by a FA)  
 generated by Rules 1-6

1)  $R = a, a \in \Sigma$   
 $L(R) = \{a\}$



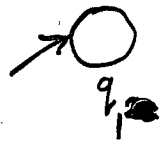
$N = (\{q_1, q_2\}, \Sigma, \delta, q_1, \{q_2\})$   
 $\delta(q_1, a) = \{q_2\}$   
 $\delta(s, b) = \phi$  if  $s \neq q_1$  or  $b \neq a$

2)  $R = \epsilon$   
 $L(R) = \{\epsilon\}$



$N = (\{q_1\}, \Sigma, \delta, q_1, \{q_1\})$   
 $\delta(s, b) = \phi, \forall s \in Q, \forall b \in \Sigma$

3)  $R = \phi$   
 $L(R) = \phi$



$N = (\{q_1\}, \Sigma, \delta, q_1, \phi)$   
 $\delta(s, b) = \phi, \forall s \in Q, \forall b \in \Sigma$

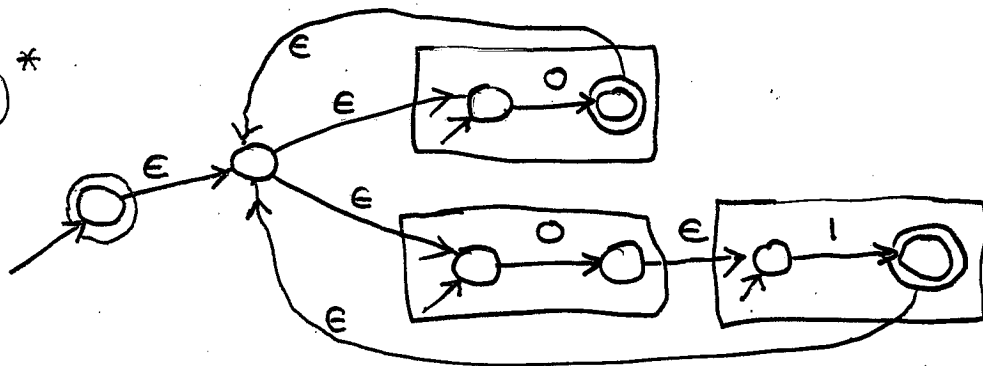
4)  $R = R_1 \cup R_2$

5)  $R = R_1 \circ R_2$

6)  $R = R_1^*$

} already proved CLOSURE OF  
 Regular languages  
 under  $\cup, \circ, *$

eg  $(0 \cup 01)^*$



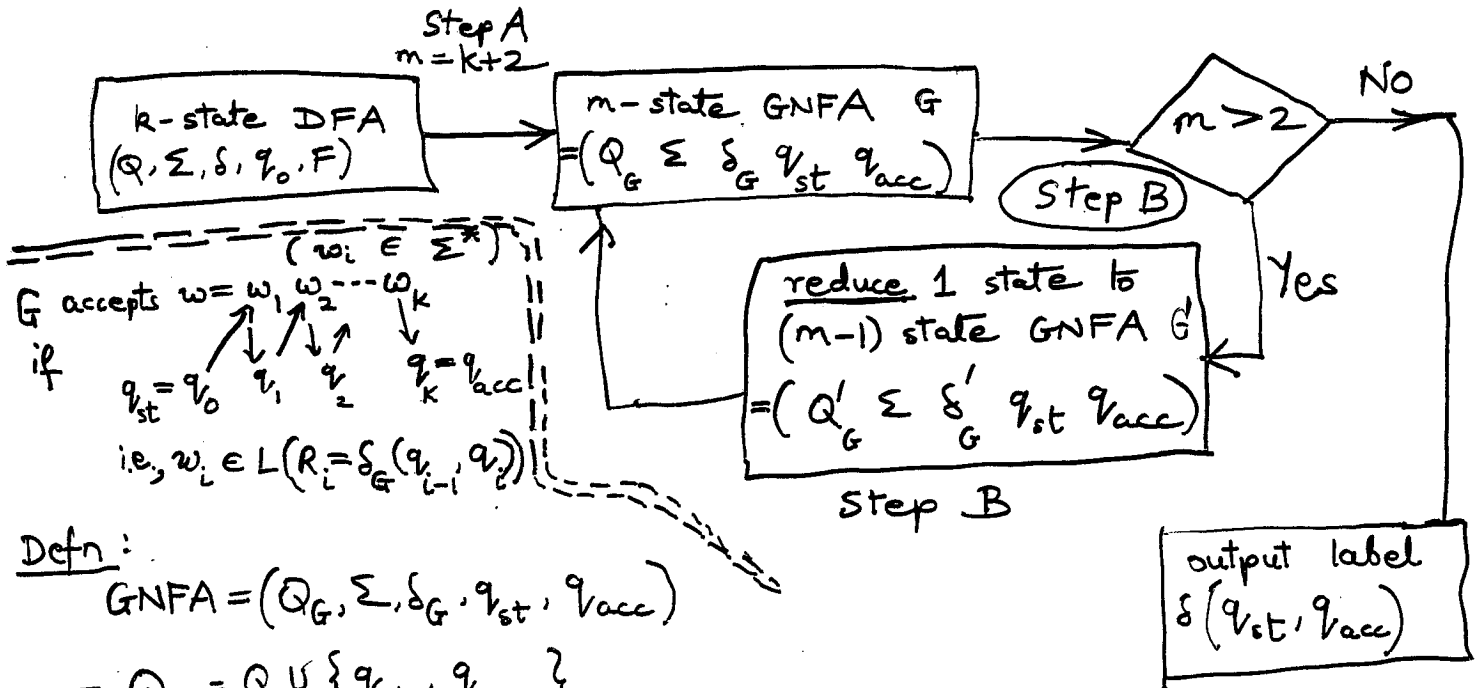
eg  $(0 \cup 01)^* 10$

Now, 2-state NFA for  $(0 \cup 01)^*$

Lemma: A regular language is generated by a regular expression

accepted by a FA

$L(R)$



Defn:

$$\text{GNFA} = (Q_G, \Sigma, \delta_G, q_{st}, q_{acc})$$

$$- Q_G = Q \cup \{q_{st}, q_{acc}\}$$

$$- \delta_G: (Q_G - \{q_{acc}\}) \times (Q_G - \{q_{st}\}) \rightarrow \{R \mid R \text{ is a reg. expr over } \Sigma\}$$

i.e.  $\rightarrow L(R(\Sigma))$

Step A:

- 1)  $\epsilon$ -edge  $(q_{st}, q_0)$  2)  $\epsilon$ -edge  $(q_f, q_{acc}), \forall q_f \in F$
- 3) Multiple labels on arrow  $\rightarrow$  union of the labels
- 4) To complete  $\delta_G$ , map each missing tuple  $(q_x, q_y)$  to  $\phi$ , i.e.,  $\phi \rightarrow$

Step B:  $G' \leftarrow \text{REDUCE}(G)$

- 1) select any  $q_{rip} \in Q_G$
- 2)  $Q'_G \leftarrow Q_G - \{q_{rip}\}$
- 3) Let  $q_i \in Q'_G - \{q_{acc}\}, q_j \in Q'_G - \{q_{st}\}$

$$R_1 = \delta_G(q_i, q_{rip}) \quad R_2 = \delta_G(q_{rip}, q_{rip})$$

$$R_3 = \delta_G(q_{rip}, q_j) \quad R_4 = \delta_G(q_i, q_j)$$

$$\text{Then } \forall i \forall j, \delta'_G = R_1 R_2^* R_3 \cup R_4$$

