

Lecture 6

$$P = \bigcup_{c \in \mathbb{N}} \text{DTIME}\left(\underset{\substack{\uparrow \\ \text{polynomial}}}{n^c}\right)$$

A language $A \leq_p B$
 $\uparrow$
poly-time reducible

if $\exists$ poly-time computable f s.t.

$$\forall x \in \{0,1\}^*$$

$$x \in A \iff \underline{f(x)} \in B$$

• Give me ANY x

↳ I can transform it into
some $f(x)$
s.t.

if $x \in A$, then $f(x) \in B$
and if $f(x) \in B$ then
 $x \in A$

$$3SAT \leq_p INDSET_k$$

• Give me any ~~string~~ ^{Boolean Formula} x

↳ I can turn it into some
graph G



~~A~~ $INDSET_n \leq_P 3SAT$

Check
this

$\hookrightarrow$ Give me any graph G

$\hookrightarrow$ I can construct a particular
 $3CNF$ ϕ_G s.t.

$$G \in IND_n \iff \phi_G \in 3SAT$$

$$G = (V, E)$$

$$|V| = n$$

k-clique is NP-complete

- $G = (V, E)$

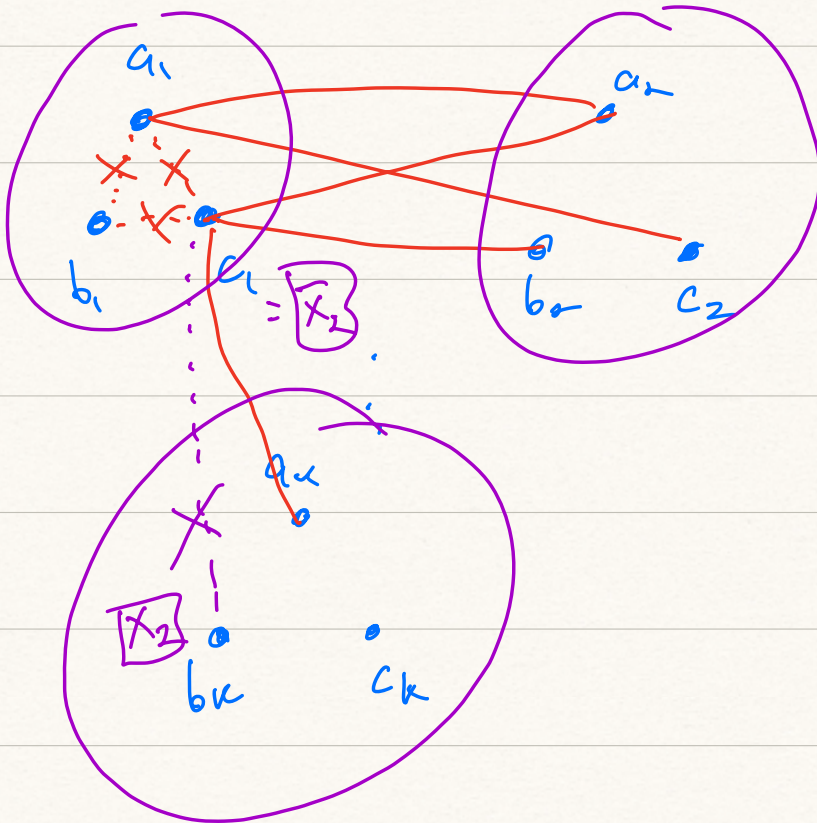
- A k-clique of G is $S \subseteq V$

s.t. $|S| = k$ and

$$\forall u, v \in S, \quad (u, v) \in E$$

• $\exists \text{SAT} \leq_p k\text{-clique}$

$$\phi = (a_1 \vee b_1 \vee c_1) \wedge \dots \wedge (a_n \vee b_n \vee c_n)$$



$$\phi \xrightarrow{f} G_\phi$$

• ϕ is 3SAT iff G_ϕ has k -clique

• ϕ is SAT $\rightarrow$

at least one literal per clause

ϕ_i is = 1 (satisfied)

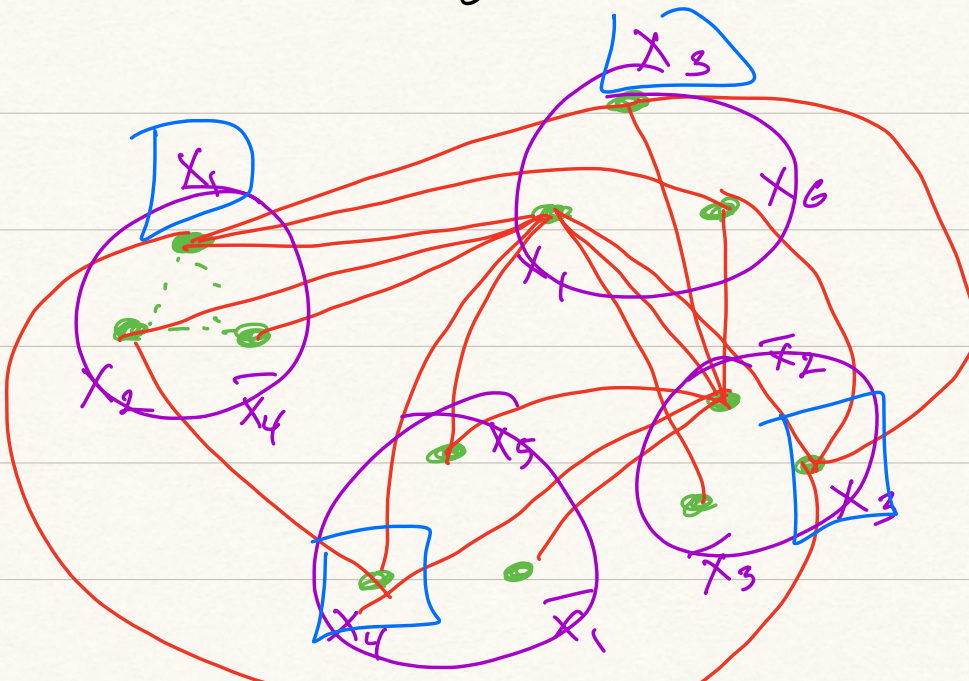
• Look at the triples of vertices

in G_ϕ

- Pick any one satisfied

literal from ϕ 's SAT

assignment



• This must be a k -clique

• If you pick x_i ,
you won't pick $\bar{x}_i$
— no edge here
anyways

• Things in clauses
are not connected

• Every x_i must
be connected to
the others you
picked in the
 $k-1$ other clauses

k -clique $\Rightarrow \phi$ is SAT

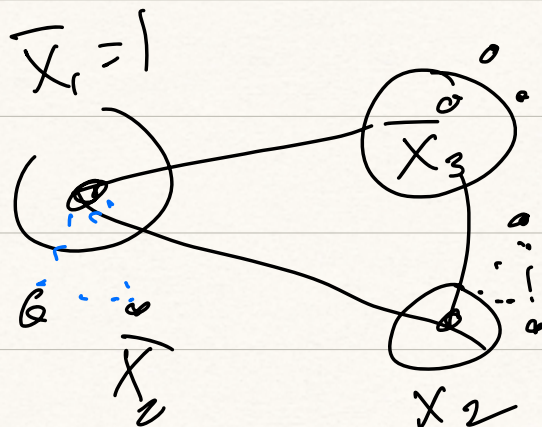
- Have a k -clique

- Know :

- clusters aren't self connected

✓ x_i and $\bar{x}_i$ are not connected

$\Rightarrow$ the k -clique has exactly one node per triple



Search vs. Decision

- If $P \neq NP$, we can't efficiently search in NP .
- If $P = NP \rightarrow$ you can solve efficiently.

Thm: Suppose $P = NP$. Then
 $\forall L \in NP$ w/ verifier
 M_L , $\exists$ a poly-time

~

$$\hat{M}_L \text{ s.t. } \forall x \in L$$

$$\hat{M}_L(x) = w \text{ s.t.}$$

$$M_L(x, w) = 1$$

- we show for SAT
 - Let A be an algorithm which decides $\phi \in \text{SAT}$
 - A runs in poly-time because $P = NP$

- Build new algorithm
Bas follows

✓ will find Sat. assignment for ϕ .

$B(\phi)$ has n literals
 $x_1, \dots, x_n$

① Run $A(\phi) \rightarrow b$

② If $b = 0$

$\rightarrow$ reject

③ Else find the assignment

↗ 3.1 Write

$\phi|_{x_1=0} \quad \phi|_{x_1=1}$

$(x_1 \vee x_2)$
 $\downarrow$

$(0 \vee x_2) \rightarrow x_2$

↗ 3.2 Test $A(\phi|_{x_1=0})$

$A(\phi|_{x_1=1})$ ↗

1

↳ at least one
must be satisfying

(3.3) Pick one of them

$$\phi' = \boxed{\phi \mid x_1=0} \text{ assigned}$$

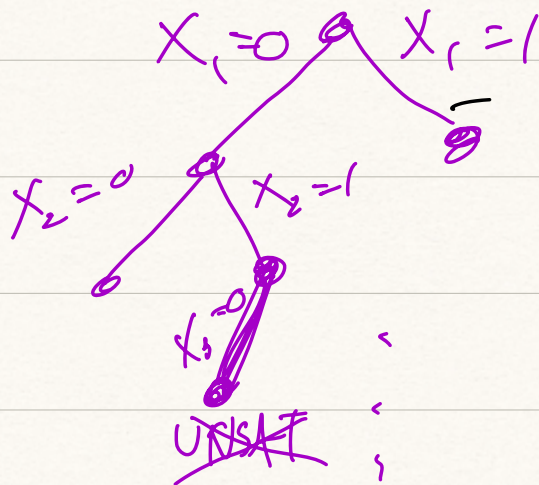
(3.4) Try $\phi'_{x_2=0}$ $\phi'_{x_2=1}$

⋮

Output the assignment of

$x_1, \dots, x_n$

$|\phi|$ pol x
in this



$\nVdash \vee +$

$\nVdash \wedge$

$x = 0$

$$\begin{aligned} x_1 &= 1 \\ x_2 &= 1 \\ x_3 &= 0 \end{aligned}$$

coNP

$$\text{coNP} = \{ L \mid \bar{L} \in \text{NP} \}$$

$$L \subseteq \{0,1\}^*$$

$$\bar{L} = \{0,1\}^* \setminus L$$

$\uparrow$

everything in $\{0,1\}^*$

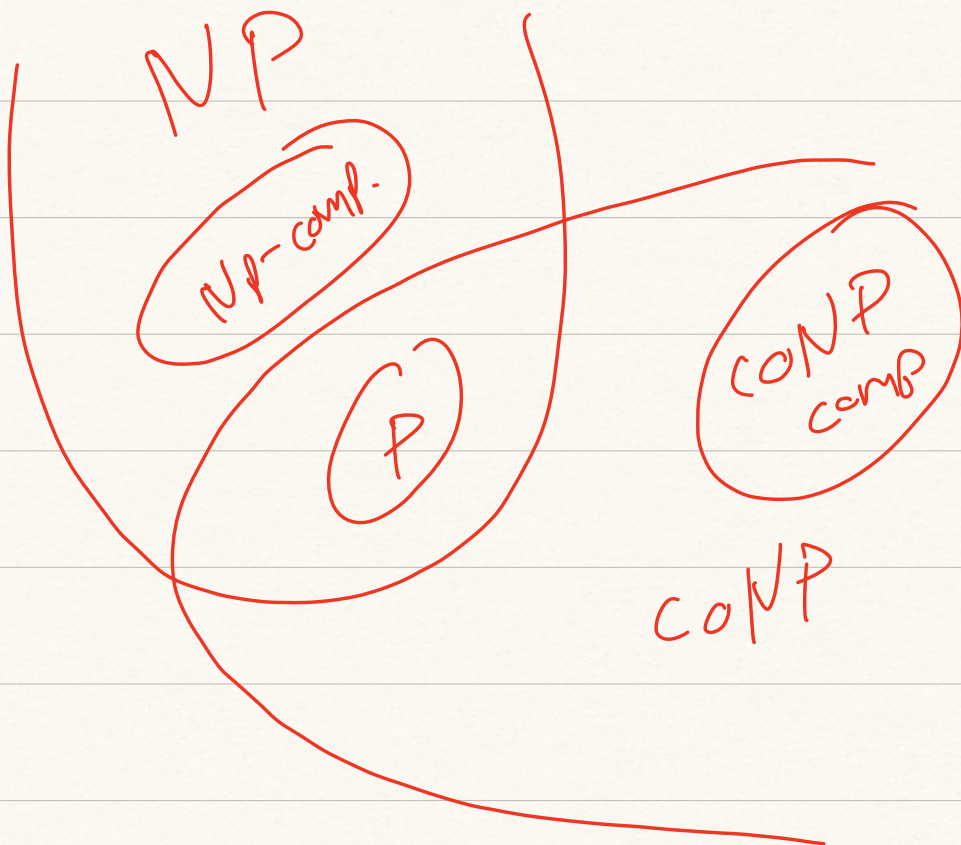
but not in L .

$$\Delta \quad \text{coNP} \neq \overline{\text{NP}}$$

$$\text{coNP} \cap \text{NP} \neq \emptyset$$

$$P \subset \text{coNP} \cap \text{NP}$$

if $L \in P$
then $\overline{L} \in \text{coNP}$



$\overline{\text{SAT}} = \{ \phi : \phi \text{ does not have a SAT assignment} \}$

$\overline{\text{SAT}} \in \text{coNP}$

- A language $L \in \text{coNP}$ if $\exists$ polynomial p and poly-time TM M_L such that

$$x \in L \iff \forall w \in \{0,1\}^{p(|x|)} M_L(x,w) = 1$$

$$x \in L \iff \forall w \in \{0,1\}^{p(|x|)}$$

$$M_L(x,w) = 1$$

(neg. both)

u sides

$$x \in L \iff \exists w \in \Sigma^+.$$

$$M_L(x, w) = 0$$

$$x \in \bar{L} \iff \exists w \in \Sigma^+.$$

$$M_L(x, w) = 0$$

$$x \in \bar{L} \iff \exists w \in \Sigma^+.$$

$$M_{\bar{L}}(x, w) = 1$$

$$\neg(\forall x) = \exists \neg x$$

$$\neg(\exists x) = \forall \neg x$$

• If $P = NP$ then

$$NP = coNP.$$

if $L \in P$ then $\bar{L} \in P$

$$\overset{||}{NP} = \overset{\downarrow}{coNP}$$

- coNP $\neq$ NP then $P \neq NP$

coNP completeness

- L is coNP-complete if
 - $L \in coNP$ and
 - $\forall L' \in coNP$
 $L' \leq_p L$

- coNP: Tautology

TAUT: $\{ \underline{\phi} \text{ is a tautology} \}$
 $\hookrightarrow$ true for every assignment

- $TAUT \in coNP$

- $L \leq_p TAUT$ for any $L \in coNP$.

- Consider $\bar{L} \in NP$

$\hookrightarrow \bar{L} \leq_p SAT$

• Let ϕ_x be the SAT such that

$$x \in \bar{L} \iff \phi_x \in SAT$$

$$\rightarrow \underbrace{x \in \bar{L}}_{\hookrightarrow} \iff \phi_x \in SAT$$

$$x \in L \iff \phi_x \notin SAT$$

$$x \in L \iff \neg \phi_x \in TAUT \quad \square$$

Recall

$$\text{EXP} = \bigcup_{c \in \mathbb{N}} \text{DTIME}(2^{n^c})$$

EXPTIME

$$\text{NEXP} = \bigcup_{c \in \mathbb{N}} \text{NTIME}(2^{n^c})$$

$$P \subseteq NP \subseteq \text{EXP} \subseteq \text{NEXP}$$

Thm: If $P = NP$ then

$$\text{EXP} = \text{NEXP}$$

$$\hookrightarrow \text{NEXP} \neq \text{EXP} \Rightarrow \\ P \neq NP$$